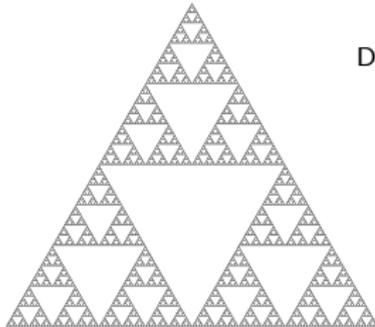
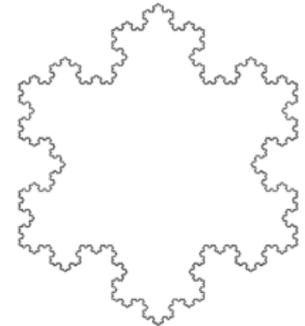


PDE and Integral Equation Formulations for Scattering by Fractal Screens

Simon Chandler-Wilde



Department of Mathematics and Statistics
University of Reading
s.n.chandler-wilde@reading.ac.uk



Joint work with: Dave Hewett (UCL) and Andrea Moiola (Reading)

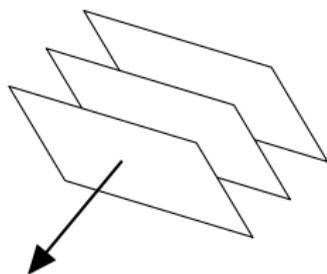
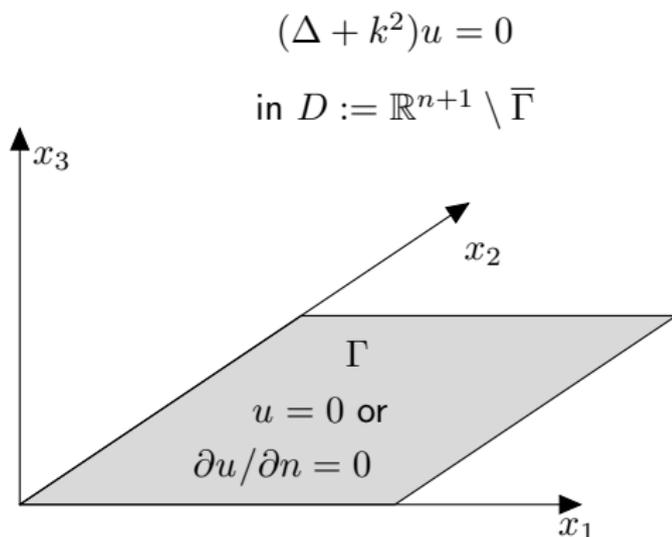
Waves 2017, Minnesota

May 17th, International Day Against Homophobia, Biphobia and Transphobia



Acoustic scattering by planar screens

Γ a bounded subset of $\Gamma_\infty := \{x \in \mathbb{R}^{n+1} : x_{n+1} = 0\} \cong \mathbb{R}^n, n = 1, 2$



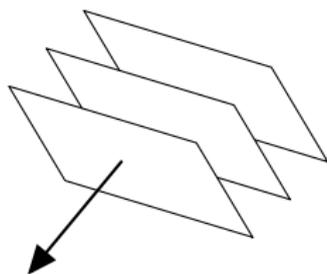
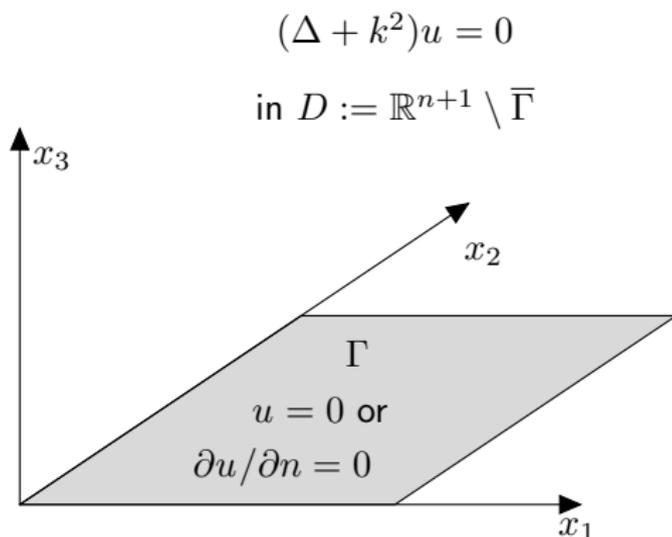
$$u^i = e^{ikd \cdot x}$$

$$|d| = 1$$

$u^s := u - u^i$ satisfies Sommerfeld Radiation Condition (SRC) at infinity
 $\partial u^s / \partial r - ik u^s = o(r^{-n/2})$ uniformly as $r = |x| \rightarrow \infty$.

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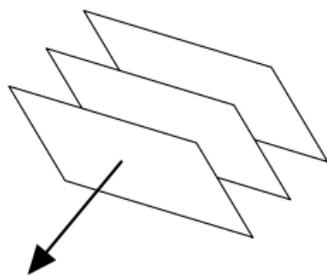
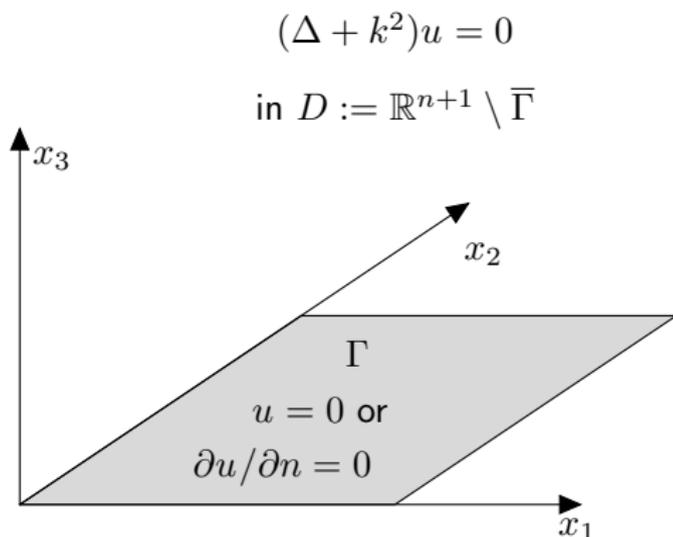
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Classical problem when Γ is **Lipschitz open set or smoother**.

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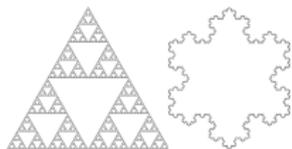
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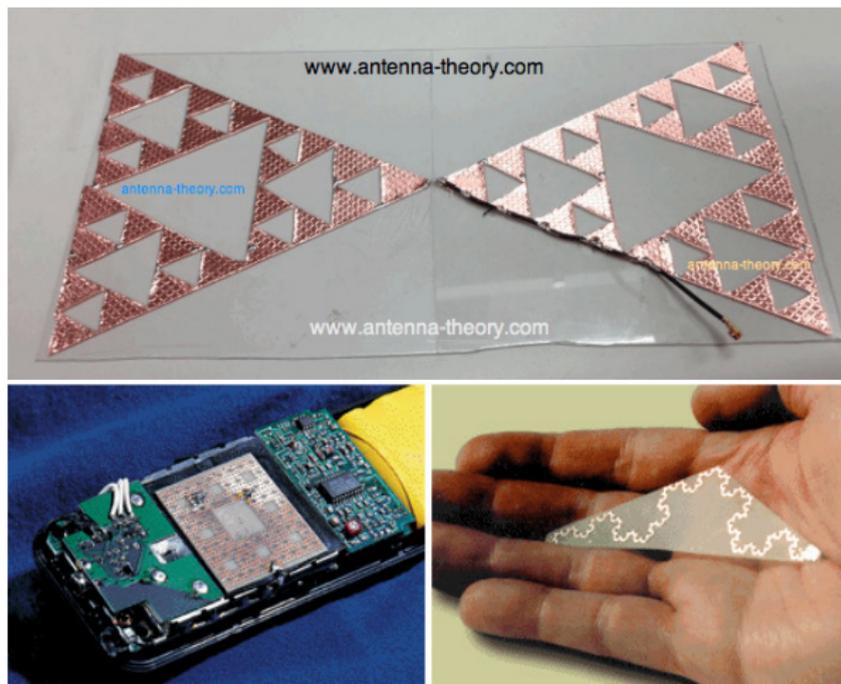
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Classical problem when Γ is **Lipschitz open set** or **smoother**.

What about **rougher** Γ , e.g. **fractal** or with **fractal boundary**?



Fractal antennas



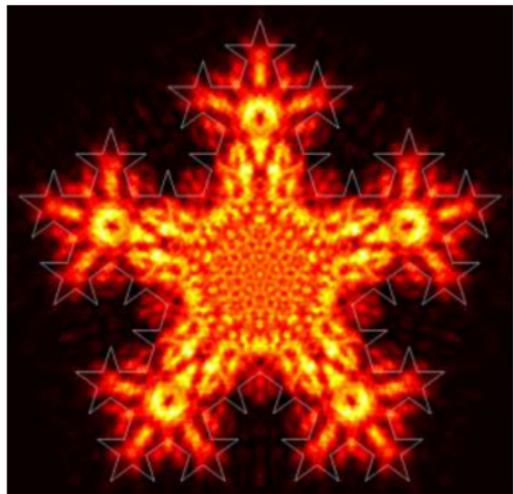
(Figures from <http://www.antenna-theory.com/antennas/fractal.php>)

Attractive because of wideband/multiband performance

Not yet analysed by mathematicians

Other applications

Scattering by ice crystals in atmospheric physics
- e.g. Westbrook and Nicol (2015) -
Meteorology at University of Reading



Fractal apertures in optics
- e.g. Huang, Christian, McDonald (2017)

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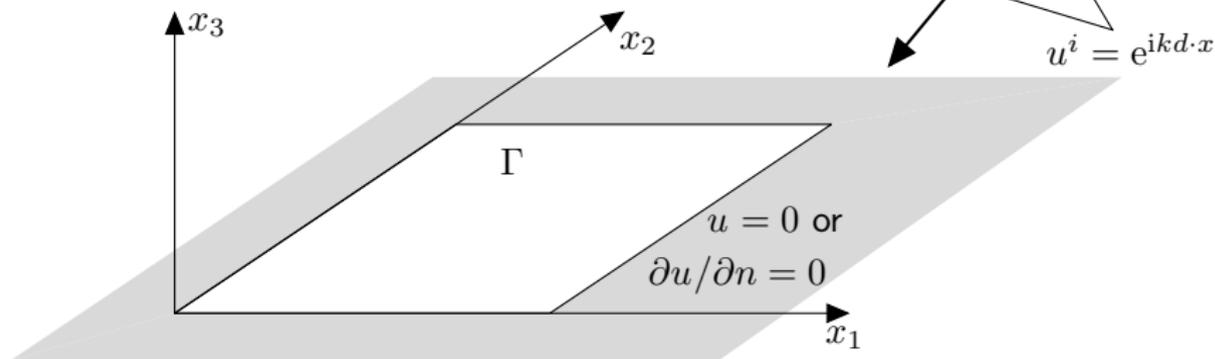
These are all examples of '**diffractals**' (Berry 1979), **waves encountering fractals**.

Scattering by apertures in infinite planar screens

Γ a bounded subset of $\Gamma_\infty := \{x \in \mathbb{R}^{n+1} : x_{n+1} = 0\} \cong \mathbb{R}^n, n = 1, 2$

$$(\Delta + k^2)u = 0$$

in $D := U_+ \cup U_- \cup \Gamma^\circ$,
where $\Gamma^\circ := \bar{\Gamma} \setminus \partial\Gamma$, **interior** of Γ



The Sommerfeld radiation condition is satisfied by:

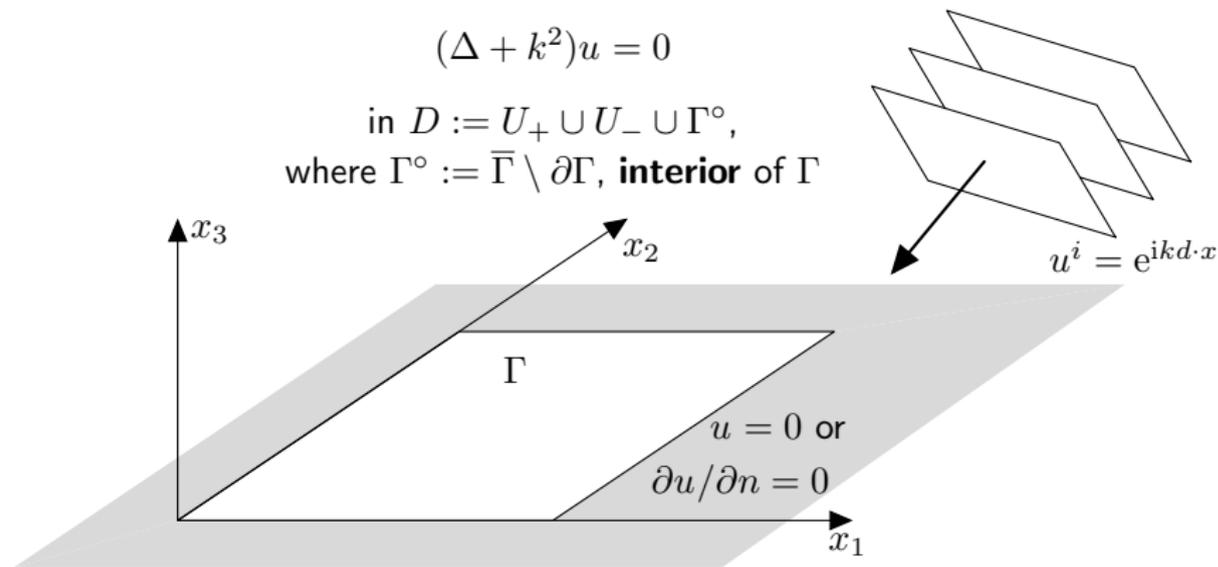
- u in the **lower** half-space, U_-
- $u^s := u - u^i - u^r$ (u^r a reflected plane wave) in the **upper** half-space, U_+

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Screen and aperture problems classically connected by **Babinet's principle**:

scattered field for screen = **scattered field** for aperture,

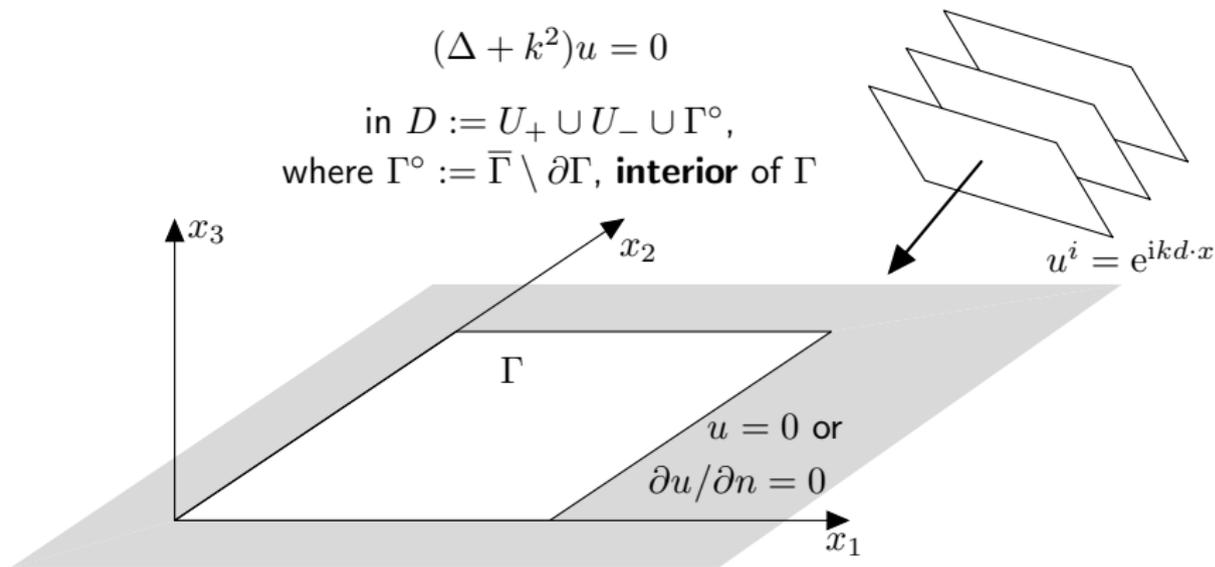
in **upper** half-space, but for **opposite boundary conditions**.

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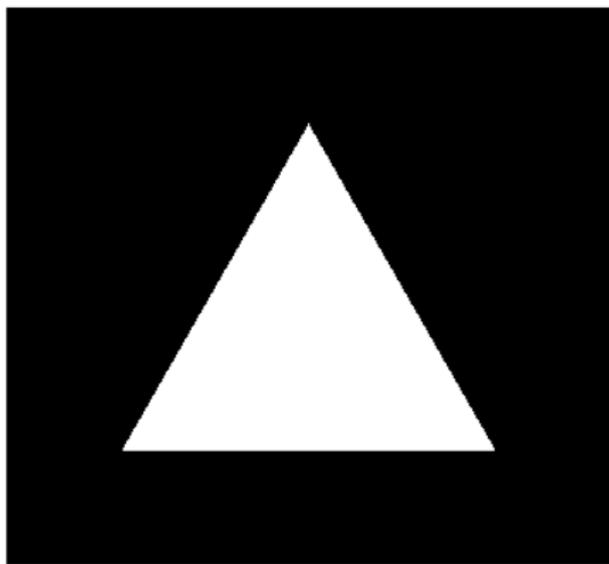
scattered field for screen = **–transmitted field** for aperture,

in **lower** half-space, again for **opposite boundary conditions**.

Overview of Talk

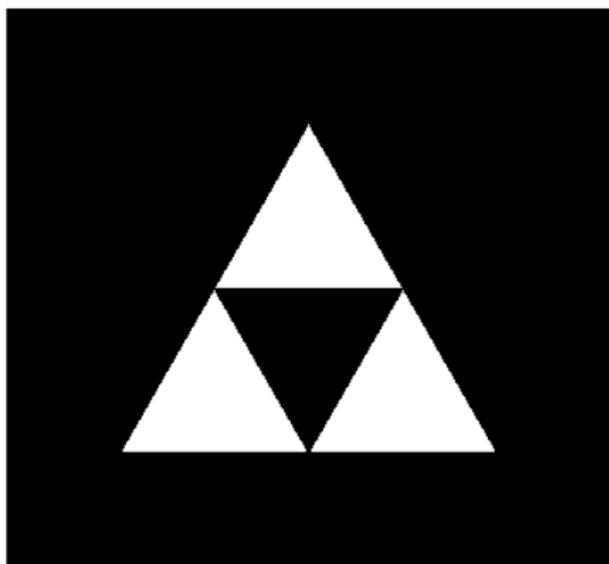
- 1 The **screen/aperture problems** and applications
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Example 1: Fractal aperture in sound hard screen



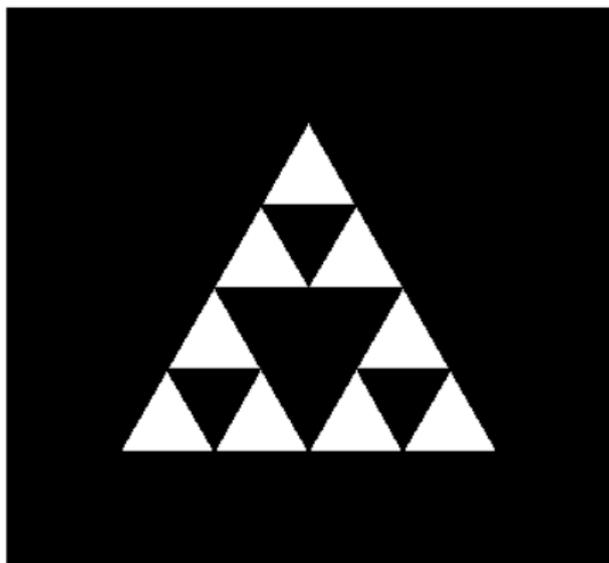
Aperture in infinite sound hard $\left(\frac{\partial u}{\partial n} = 0\right)$ screen: Area = 1

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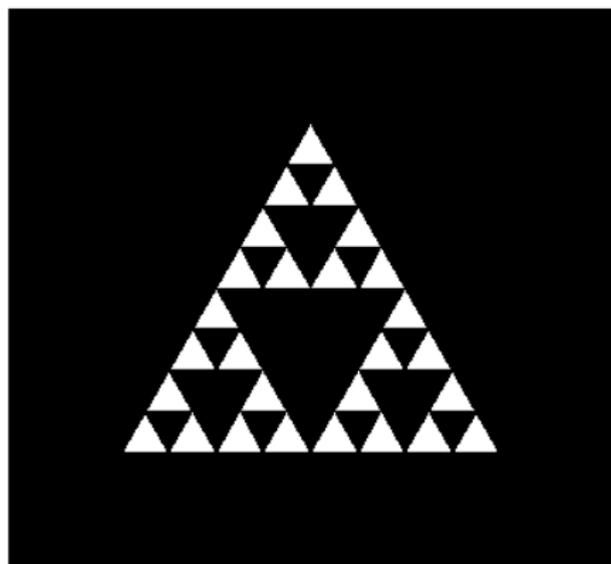
Aperture in infinite sound hard $\left(\frac{\partial u}{\partial n} = 0\right)$ screen: Area = $3/4$

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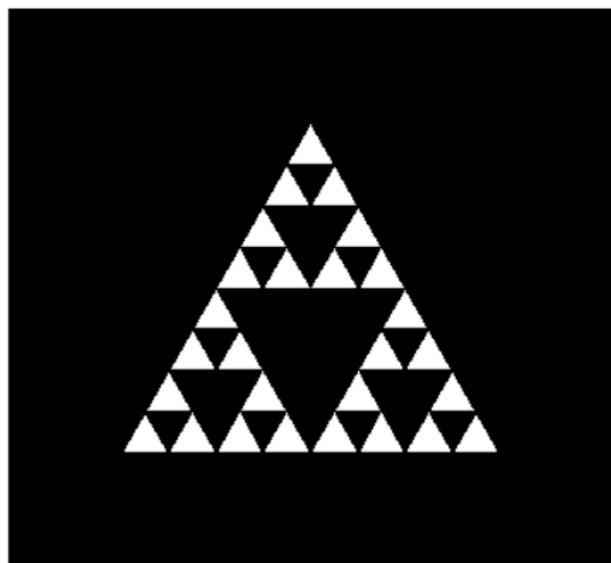
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Aperture in infinite sound hard $\left(\frac{\partial u}{\partial n} = 0\right)$ screen: Area = $(3/4)^3$

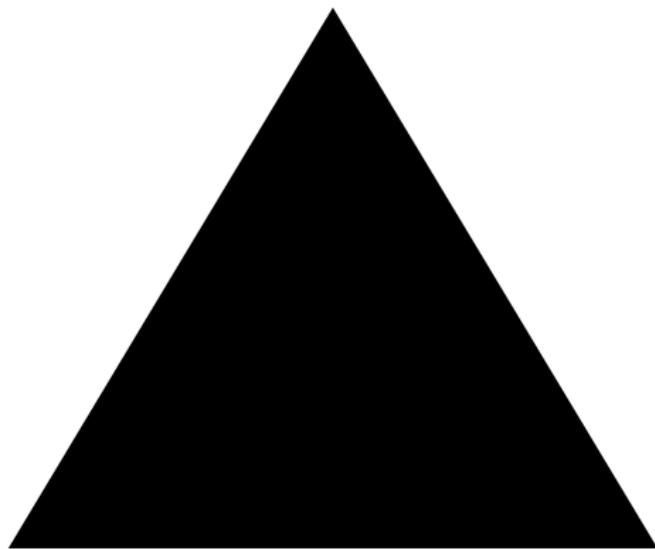
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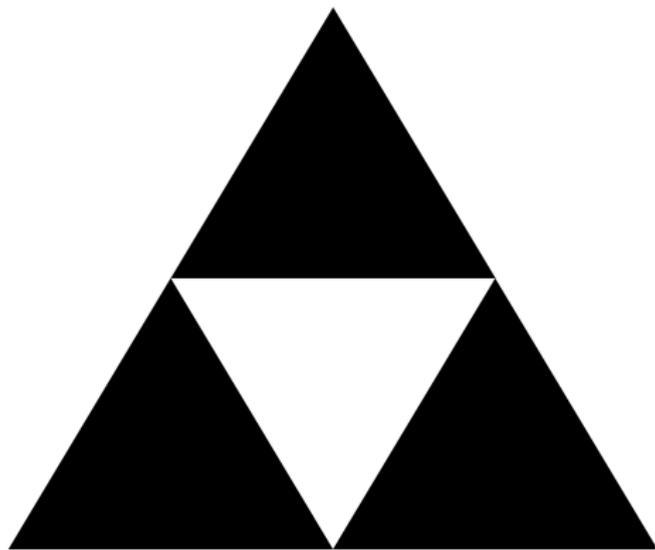
Question: Is the transmitted field **zero** or **non-zero** in the limit? (The limiting aperture is the **Sierpinski triangle fractal** with zero area.)

Example 2: Sierpinski triangle screen



Sound soft ($u = 0$) screen: Area = 1

Example 2: Sierpinski triangle screen



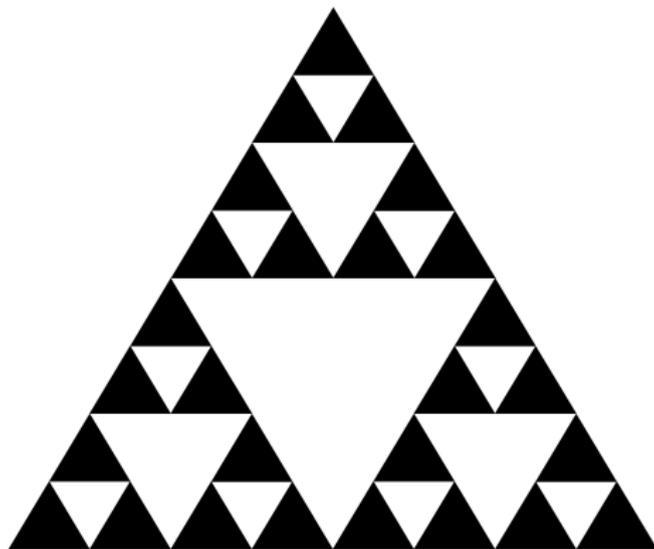
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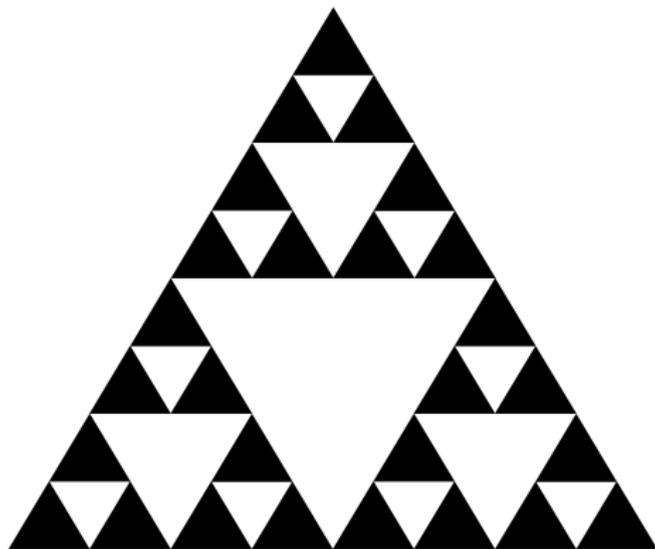
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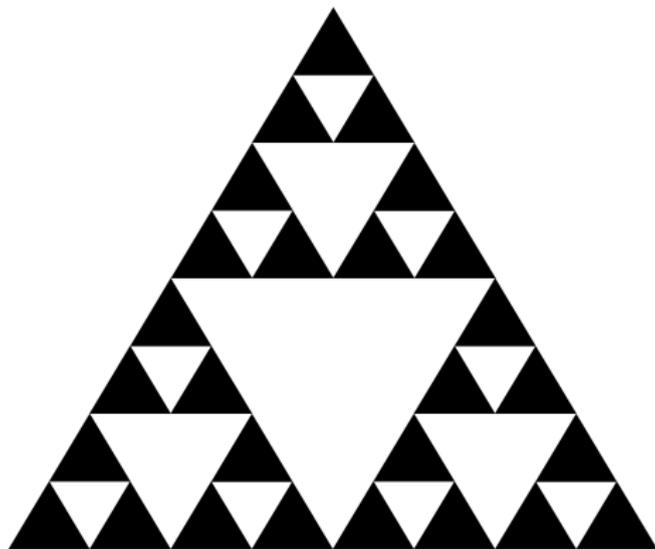
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Question: Is the scattered field **zero** or **non-zero** in the limit? (The limiting screen is a **Sierpinski triangle** with zero area.)

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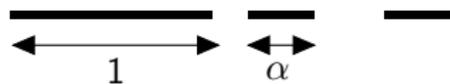
By **Babinet's principle** this is the same question as on the previous slide.

Example 3: Scattering by Cantor Dust

Let the closed set $C_\alpha \subset [0, 1]$ denote the standard Cantor set ($0 < \alpha < 1/2$)

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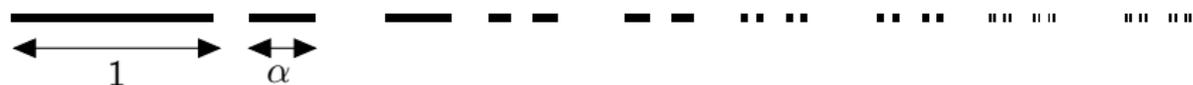
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Note: C_α^2 is uncountable and closed, with zero area (zero Lebesgue measure).

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Note: C_α^2 is uncountable and closed, with zero area (zero Lebesgue measure).

Question: Is the scattered field **zero** or **non-zero** for the sound-soft scattering problem with $\Gamma = C_\alpha^2$?

Scattering by fractal (and other complicated) screens/apertures



Lots of interesting mathematical/computational questions:

- Can we **formulate** well-posed BVPs and equivalent BIEs?
.
- Do prefractional solutions **converge** to fractal solutions?
.
- Are there algorithms to **compute** the scattered field?
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- If the screen/aperture has **empty interior**, does it scatter?
- Does fractal dimension play a role?

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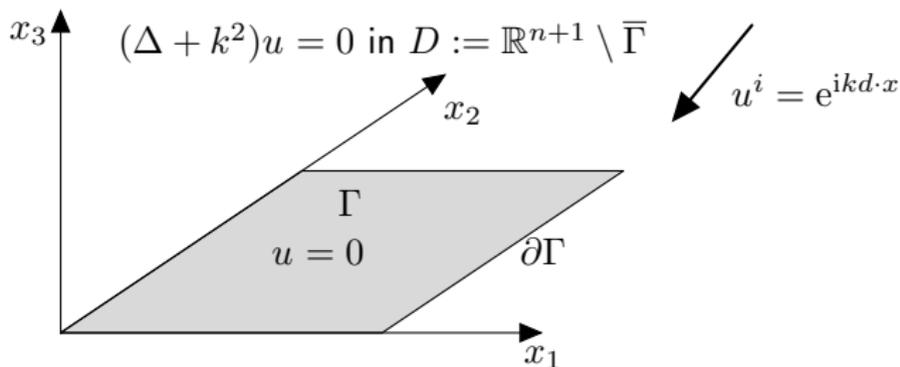
- Can we **formulate** well-posed BVPs and equivalent BIEs?
. **Yes – in fact infinitely many.**
- Do prefractal solutions **converge** to fractal solutions?
. **Yes, and this helps us select which fractal solution is physical.**
- Are there algorithms to **compute** the scattered field?
. **Yes, but this work in progress.**
- If the screen/aperture has **empty interior**, does it scatter? **It depends.**
- Does fractal dimension play a role? **Very much.**

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Formulations for Regular Screens (Sound Soft Case)

BVP-C: Classical BVP Formulation (Bouwkamp, “Diffraction Theory”, 1954)

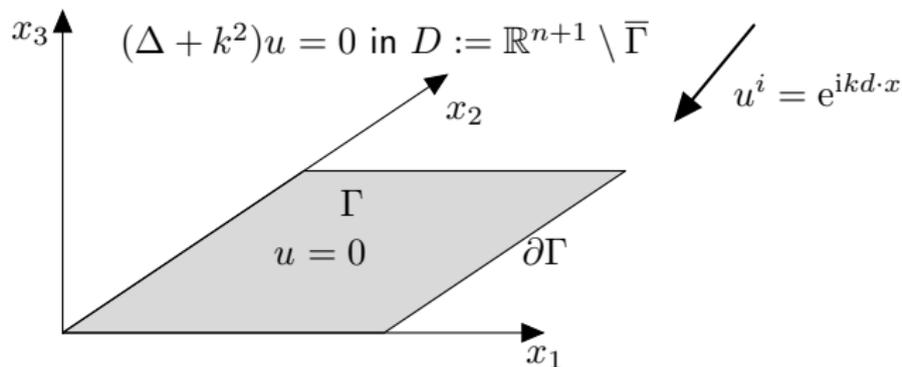


- Implicit assumption that $u \in C^2(D)$, indeed is smooth up to the boundary except on $\partial\Gamma$
- $u^s := u - u^i$ satisfies Sommerfeld radiation condition (SRC)
- Behaviour near $\partial\Gamma$ controlled by “edge conditions”, notably (Meixner 1949)

$$\int_G (|\nabla u|^2 + |u|^2) dx < \infty \text{ for every bounded } G \subset D$$

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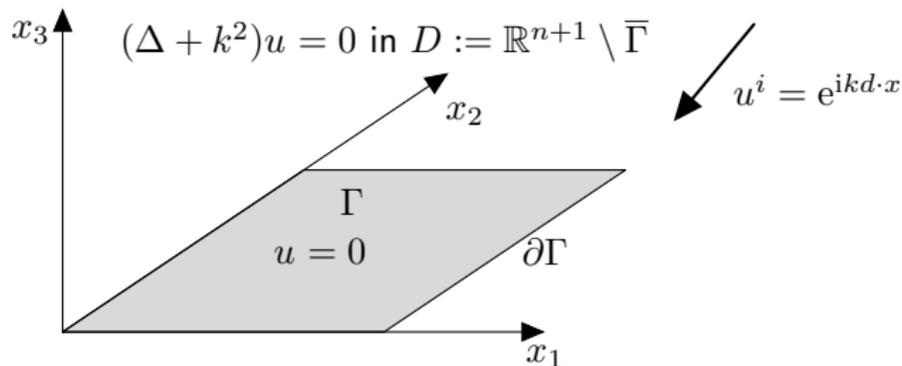
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Theorem (Meixner '49, Levine '64, Wolfe '69, Stephan '87, C-W, Hewett 2016)

If Γ is C^0 open set then this formulation has a unique solution.

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- Behaviour near $\partial\Gamma$ controlled by “edge conditions”, in **Sobolev space notation, that** $u \in W^{1,loc}(D)$

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Sobolev spaces on $\Gamma \subset \Gamma_\infty = \mathbb{R}^n$

For $s \in \mathbb{R}$ let $H^s(\Gamma_\infty) = H^s(\mathbb{R}^n) = \{u \in \mathcal{S}'(\mathbb{R}^n) : \int_{\mathbb{R}^n} (1 + |\xi|^2)^s |\hat{u}(\xi)|^2 d\xi < \infty\}$

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For $\Omega \subset \Gamma_\infty$ open and $F \subset \Gamma_\infty$ closed define

$$H^s(\Omega) := \{u|_\Omega : u \in H^s(\Gamma_\infty)\}$$

RESTRICTION

$$\tilde{H}^s(\Omega) := \overline{C_0^\infty(\Omega)}^{H^s(\Gamma_\infty)}$$

CLOSURE

$$H_F^s := \{u \in H^s(\Gamma_\infty) : \text{supp } u \subset F\}$$

SUPPORT

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For bounded $\Gamma \subset \Gamma_\infty$, its interior

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Further

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with **equality** if Γ is open and C^0 .

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Further

$$\tilde{H}^{\pm 1/2}(\Gamma^\circ) \subset H_{\bar{\Gamma}}^{\pm 1/2}$$

with **equality** if Γ is open and C^0 .

But equality does not hold in general and this is key!

Sobolev spaces on $\Gamma \subset \Gamma_\infty = \mathbb{R}^n$

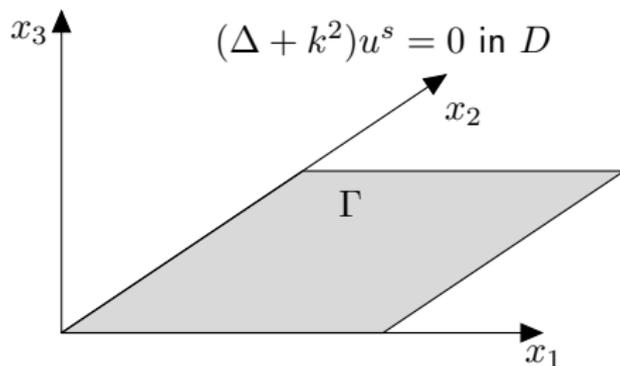
Where $U_+, U_- \subset \mathbb{R}^{n+1}$ are the half-spaces **above** and **below** Γ_∞ , define standard **trace operators**

$$\gamma_\pm : W^1(U_\pm) \rightarrow H^{1/2}(\Gamma_\infty)$$

by $\gamma_\pm u = u|_{\Gamma_\infty}$, for $u \in W^1(U_\pm) \cap C(\overline{U_\pm})$.

Formulations for Regular Screens (Sound Soft Case)

BVP-S: Sobolev space formulation (Stephan 1987)

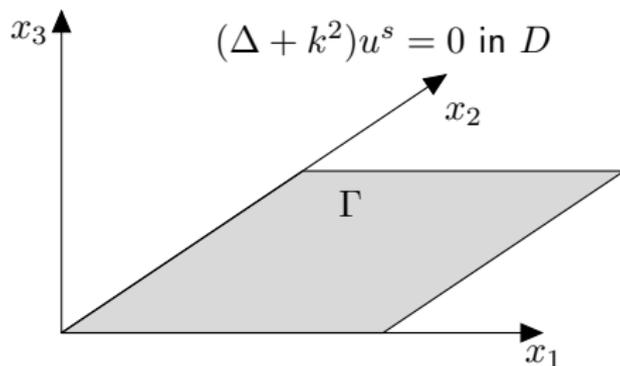


Require:

- $u^s \in C^2(D) \cap W^{1,loc}(D)$
- u^s satisfies SRC
- $\gamma_{\pm} u^s|_{\Gamma^{\circ}} = -u^i|_{\Gamma^{\circ}} \in H^{1/2}(\Gamma^{\circ})$

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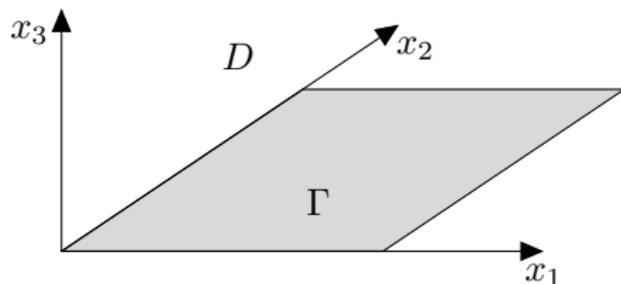
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- u^s satisfies SRC
- $\gamma_{\pm} u^s|_{\Gamma^{\circ}} = -u^i|_{\Gamma^{\circ}} \in H^{1/2}(\Gamma^{\circ})$

Theorem (Stephan 1987, C-W, Hewett 2016)

*This formulation is **equivalent** to the classical formulation **FC**, and both are uniquely solvable if Γ is a C^0 open set.*

Formulations for Regular Screens (Sound Soft Case)

BIE formulation (Stephan 1987)



Given a bounded open $\Gamma \subset \Gamma_\infty$, define **single-layer** operators

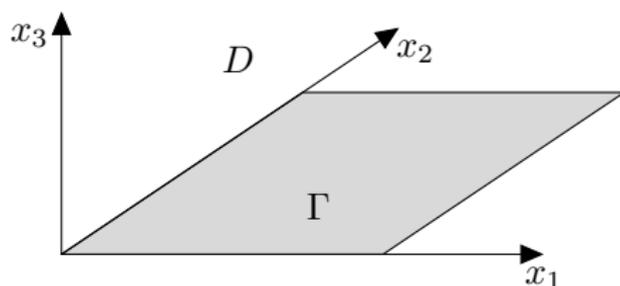
$\mathcal{S} : \tilde{H}^{-1/2}(\Gamma) \rightarrow C^2(D) \cap W^{1,loc}(D)$ and $S : \tilde{H}^{-1/2}(\Gamma) \rightarrow H^{1/2}(\Gamma)$ by

$$\mathcal{S}\phi(x) = \int_{\Gamma} \Phi(x, y)\phi(y) \, ds(y), \quad x \in D \quad \text{and} \quad S\phi = (\gamma_{\pm}\mathcal{S}\phi)|_{\Gamma}.$$

$$\Phi(x, y) := \frac{e^{ik|x-y|}}{4\pi|x-y|} \quad \text{in 3D.}$$

Formulations for Regular Screens (Sound Soft Case)

BIE formulation (Stephan 1987)



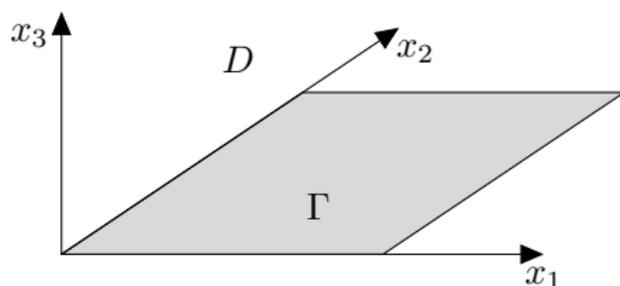
Given a bounded open $\Gamma \subset \Gamma_\infty$, define **single-layer** operators $\mathcal{S} : \tilde{H}^{-1/2}(\Gamma) \rightarrow C^2(D) \cap W^{1,loc}(D)$ and $S : \tilde{H}^{-1/2}(\Gamma) \rightarrow H^{1/2}(\Gamma)$ by

$$\mathcal{S}\phi(x) = \int_{\Gamma} \Phi(x, y)\phi(y) ds(y), \quad x \in D \quad \text{and} \quad S\phi = (\gamma_{\pm}\mathcal{S}\phi)|_{\Gamma}.$$

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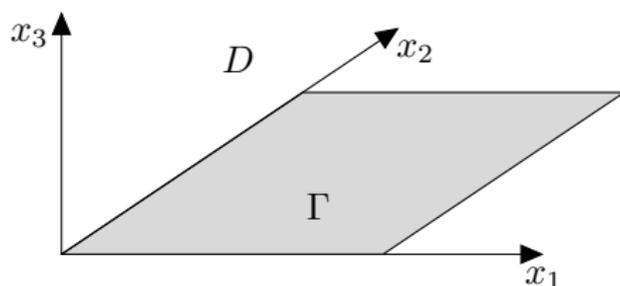
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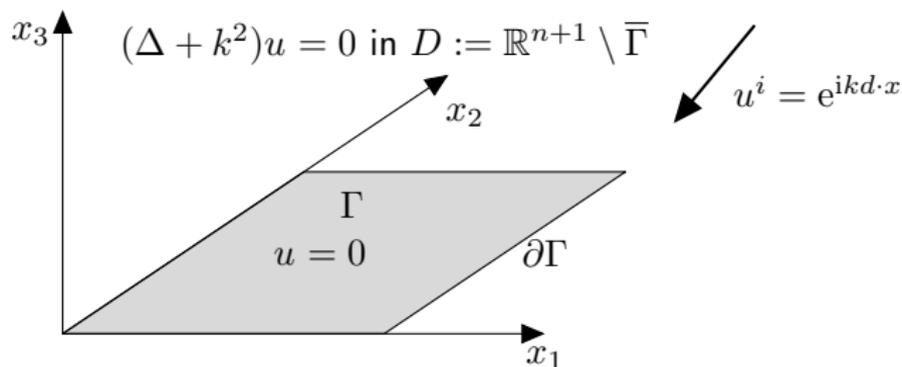
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Theorem (Stephan 1987, C-W & Hewett 2015)

*This BIE formulation has exactly one solution **for every open** Γ , and this solution satisfies **BVP-S** and **BVP-C**. Further, $\psi = -[\partial_n u^s]$.*

Formulations for Regular Screens (Sound Soft Case)

BVP-W: Weak BVP Formulation

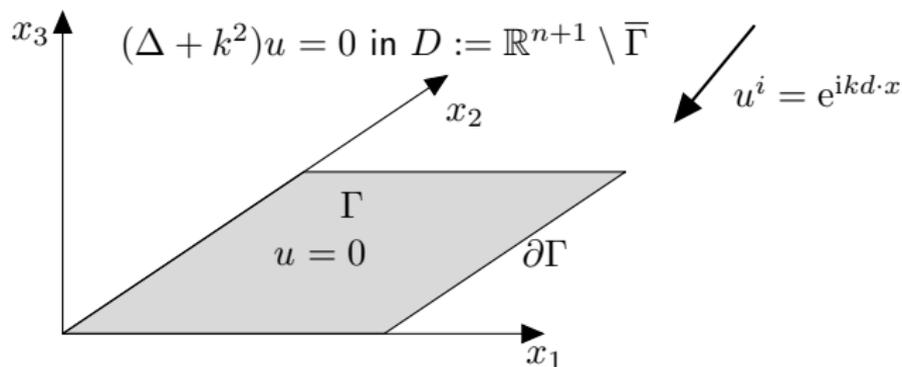


Require:

- $u \in C^2(D) \cap W_0^{1,loc}(D)$, where $W_0^1(D)$ is closure of $C_0^\infty(D)$ in $W^1(D)$
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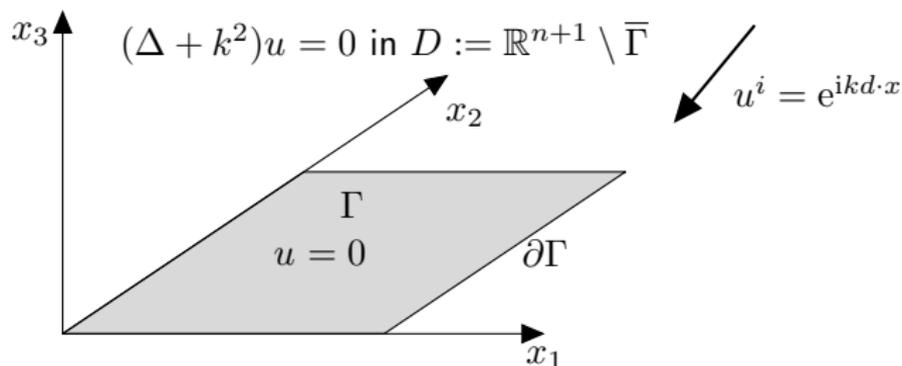
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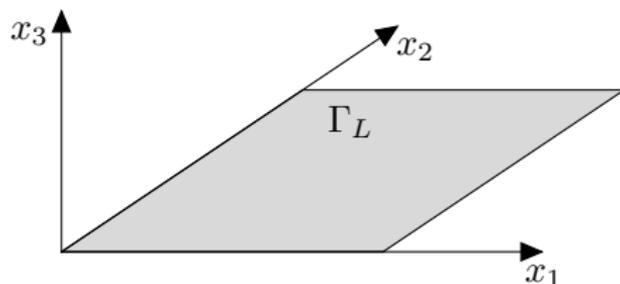
Not the end of the story! This solution is **may or may not** be the **right solution** and **may or may not** be the same as the solution of the **BIE**.

(Though all formulations have the same unique solution if Γ is C^0 open set.)

Overview of Talk

- 1 The **screen/aperture problems** and applications
- 2 Warm up
 - **Examples/questions** to get us thinking
 - The **main questions** – look ahead to answers
- 3 **PDE and BIE formulations**
 - for **regular** screens
 - for **rough** screens, e.g. **fractal** or **fractal boundary**
- 4 **Convergence** of regular screens to irregular, prefractals to fractals?
- 5 Recap, references & many **open problems**

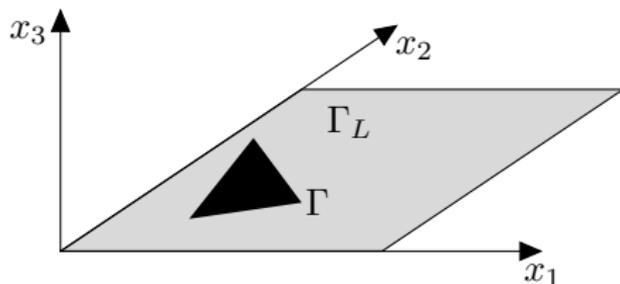
BIE for General Bounded Sound Soft Screen $\Gamma \subset \mathbb{R}^n$



Start with **Lipschitz open** $\Gamma_L \subset \Gamma_\infty$. Define **single-layer** operators

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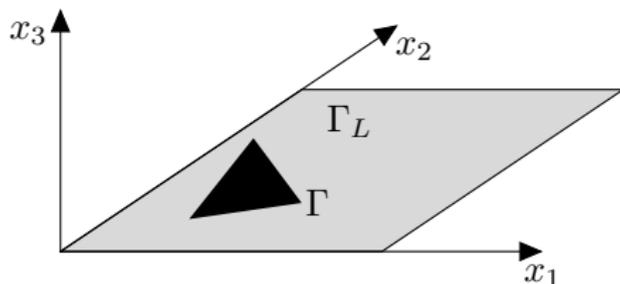
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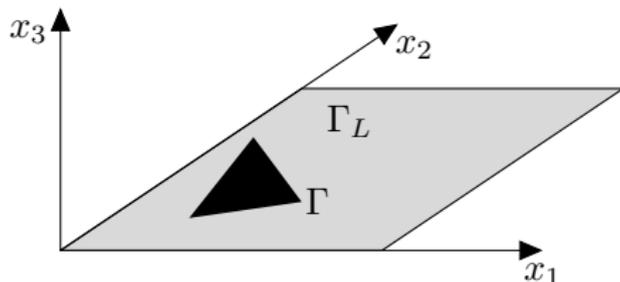


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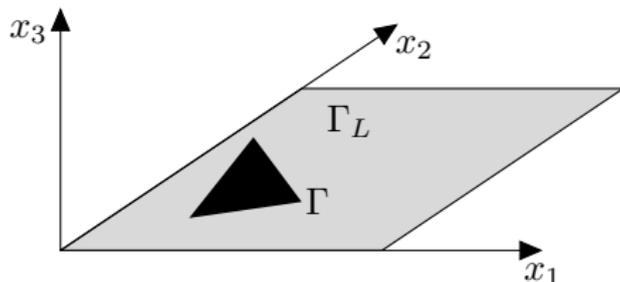
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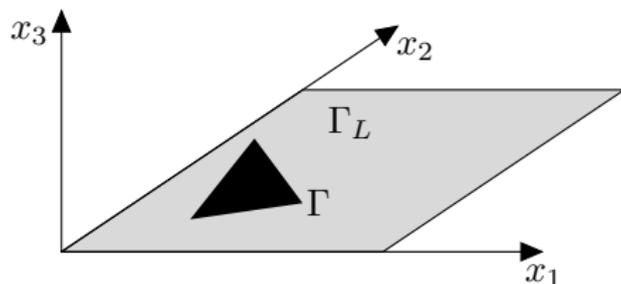
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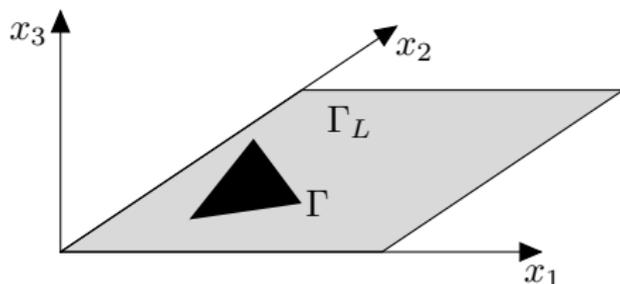
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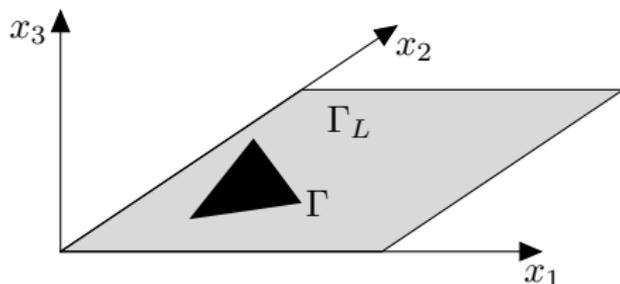
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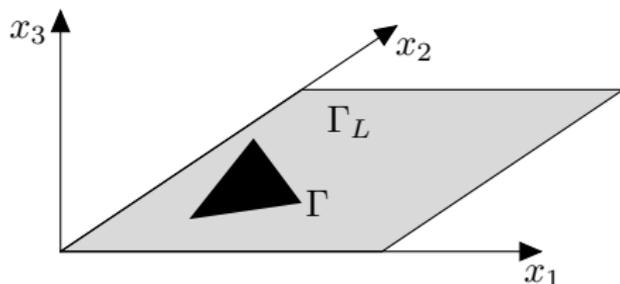
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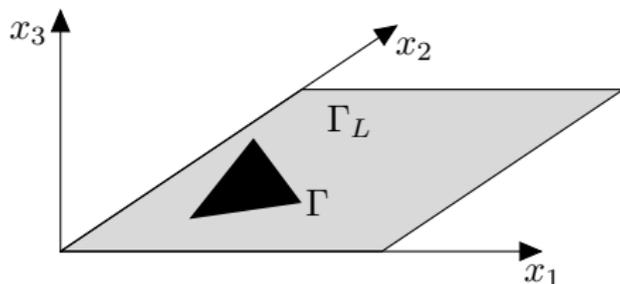
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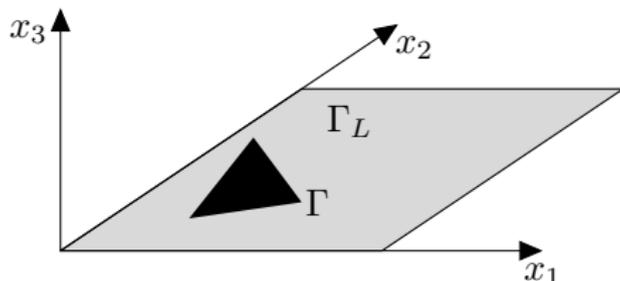
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Theorem (Ha Duong 1992, C-W & Hewett 2015)

For some $c > 0$, $|\langle \mathcal{S}_L\phi, \phi \rangle| \geq c\|\phi\|_{\tilde{H}^{-1/2}(\Gamma_L)}^2$, for $\phi \in \tilde{H}^{-1/2}(\Gamma_L)$

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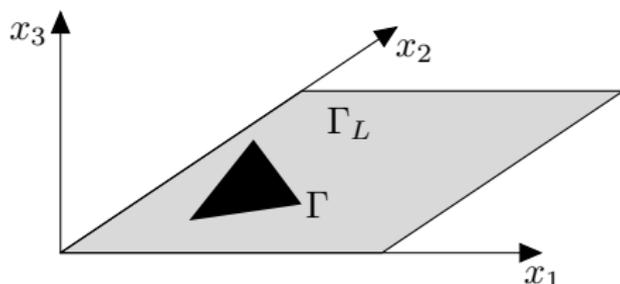
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Case 1: $\tilde{H}^{-1/2}(\Gamma^\circ) = H_{\bar{\Gamma}}^{-1/2}$, e.g. Γ is open and C^0 .

BIE-V has exactly one solution by Lax-Milgram.

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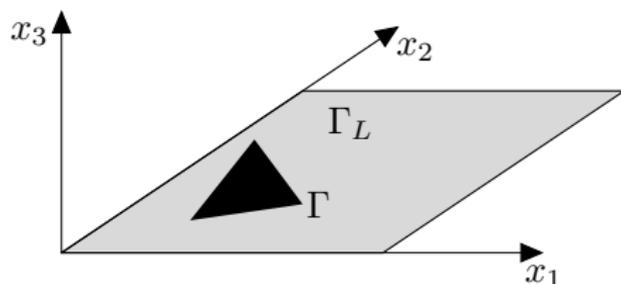
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Case 1a: $\Gamma^\circ = \emptyset$ and $\{0\} = \tilde{H}^{-1/2}(\Gamma^\circ) = H_{\bar{\Gamma}}^{-1/2}$, e.g. $\bar{\Gamma}$ is countable or $\dim_H(\bar{\Gamma}) < n - 1$. **BIE-V** has only the zero solution, $u^s = 0$.

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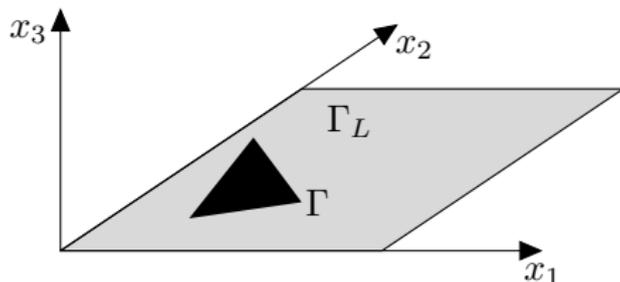
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BIE-V has infinitely many solutions.

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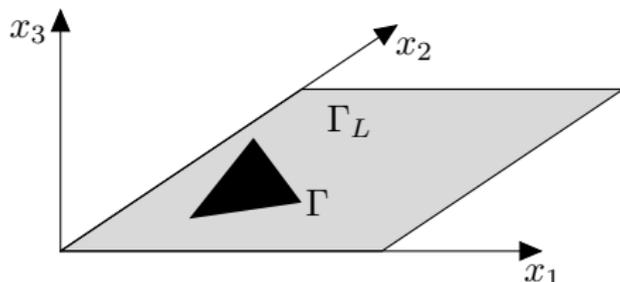
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Case 2a: $\Gamma^\circ = \emptyset$ and $\{0\} = \tilde{H}^{-1/2}(\Gamma^\circ) \subsetneq H_{\bar{\Gamma}}^{-1/2}$, e.g. $\dim_H(\bar{\Gamma}) > n - 1$, e.g.



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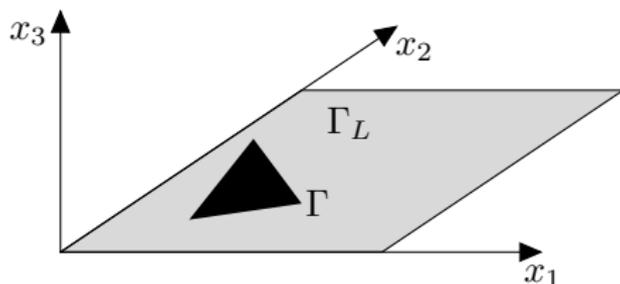
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Case 2: $\tilde{H}^{-1/2}(\Gamma^\circ) \subsetneq H_{\bar{\Gamma}}^{-1/2}$. To make **BIE-V** well-posed, choose as the **trial** and **test space** a **single closed subspace** V with $\tilde{H}^{-1/2}(\Gamma^\circ) \subseteq V \subseteq H_{\bar{\Gamma}}^{-1/2}$.

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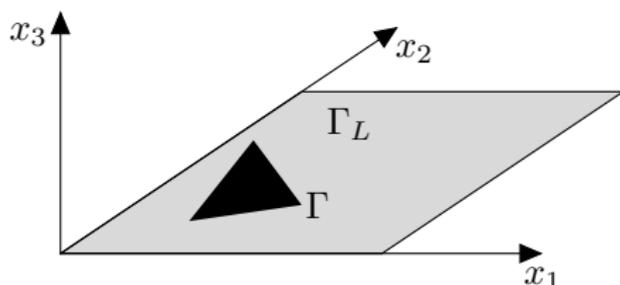
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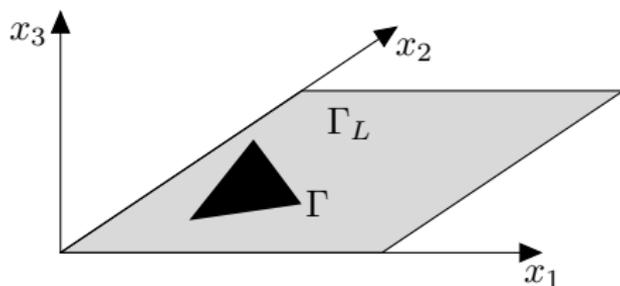
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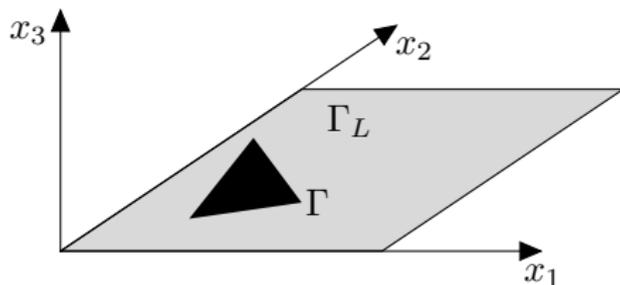
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Infinitely many (\aleph_0) choices; distinct choices have **distinct solutions** for a.e. d .

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But are any of these infinitely many solutions physical?

Overview of Talk

- 1 The **screen/aperture problems** and applications
- 2 Warm up
 - **Examples/questions** to get us thinking
 - The **main questions** – look ahead to answers
- 3 **PDE and BIE formulations**
 - for **regular** screens
 - for **rough** screens, e.g. **fractal** or **fractal boundary**
- 4 **Convergence** of regular screens to irregular, prefractals to fractals?
- 5 Recap, references & many **open problems**

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Suppose $\mathbb{R}^n \supset \Gamma_1 \supset \Gamma_2 \supset \dots$ are closed sets, each sufficiently regular so that solutions of all formulations coincide, e.g (with $n = 2$)



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This solution coincides with the solution to **BVP-w** in which the boundary condition is enforced by $u \in W_0^{1,loc}(D)$.

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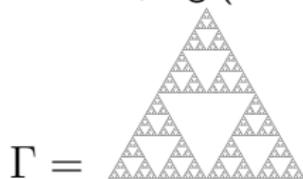
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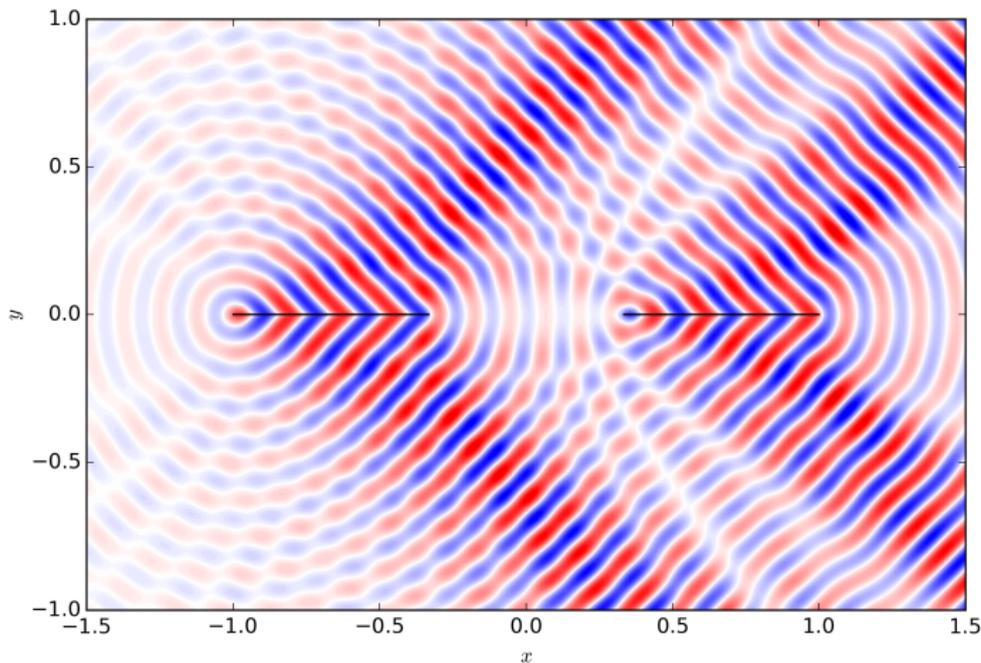
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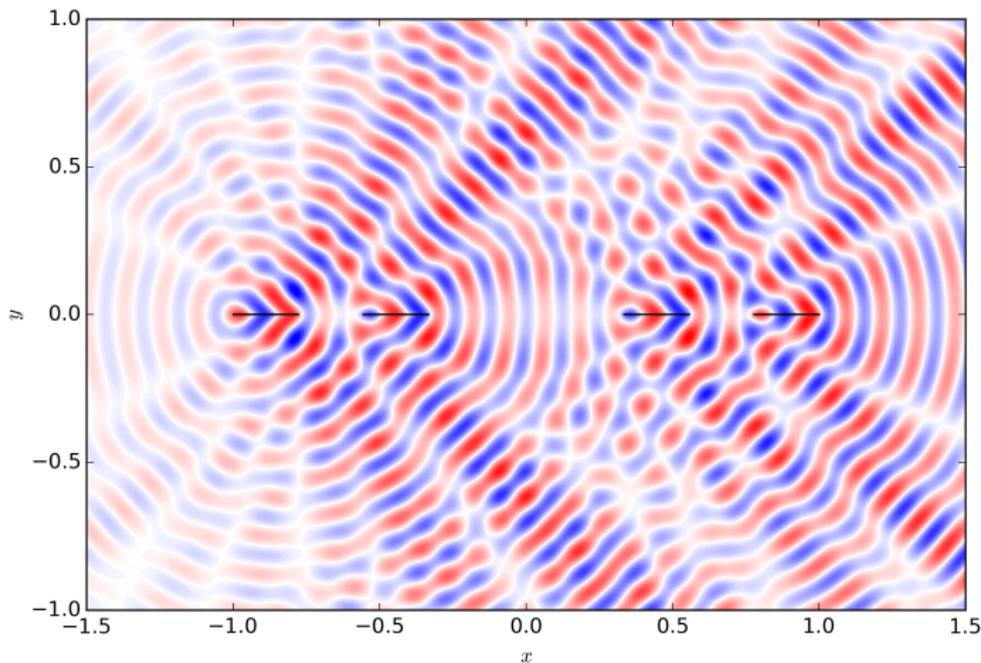
Accurate spectral computations by Mikael Slevinsky (Slevinsky, Olver 2017).



Γ_1 and $\text{Re } u_1^s$

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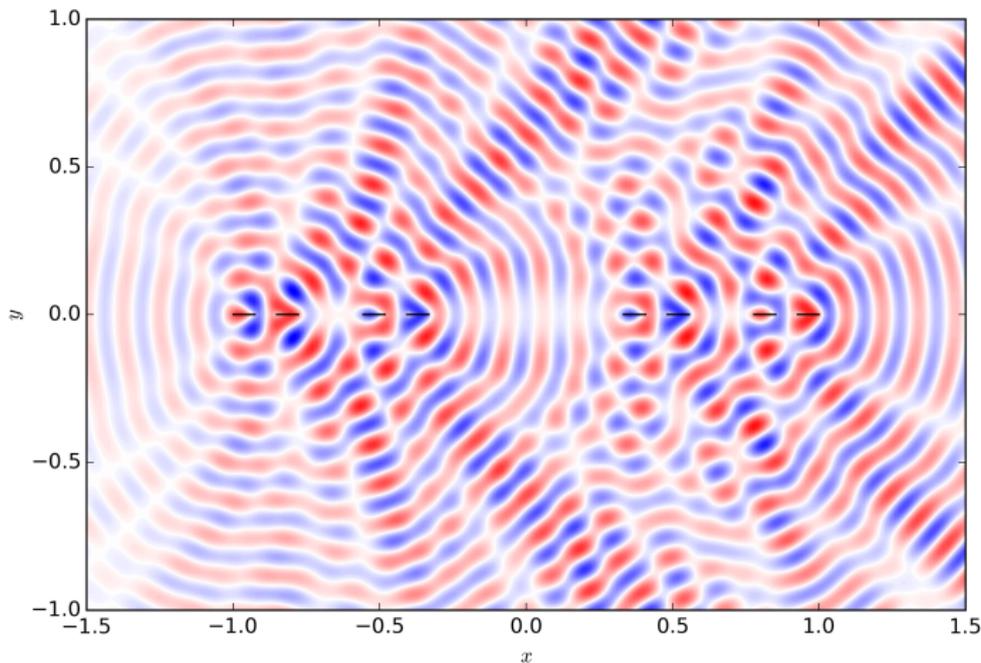
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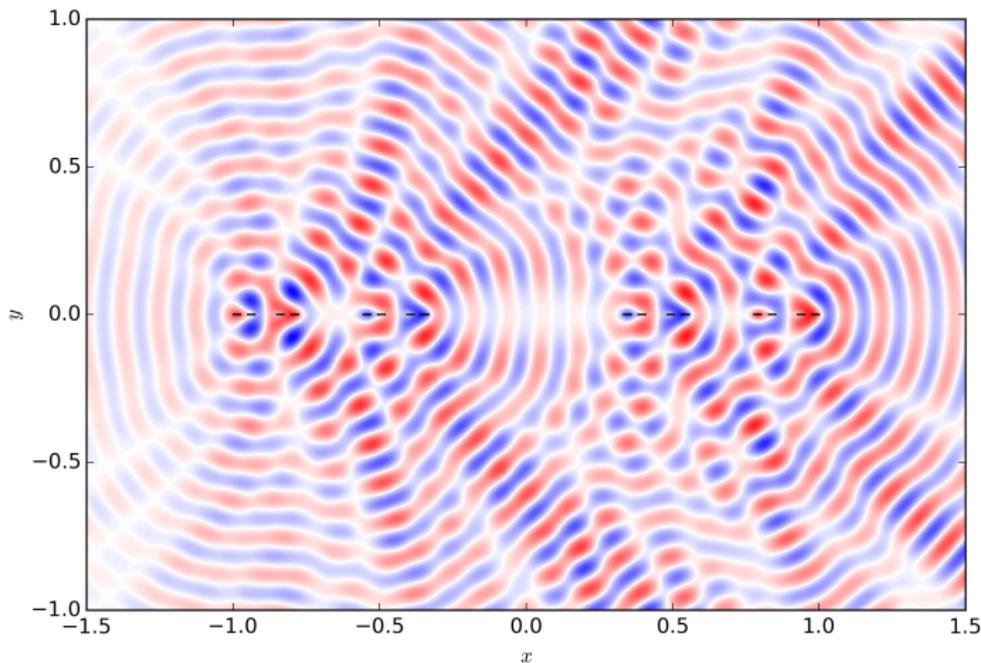
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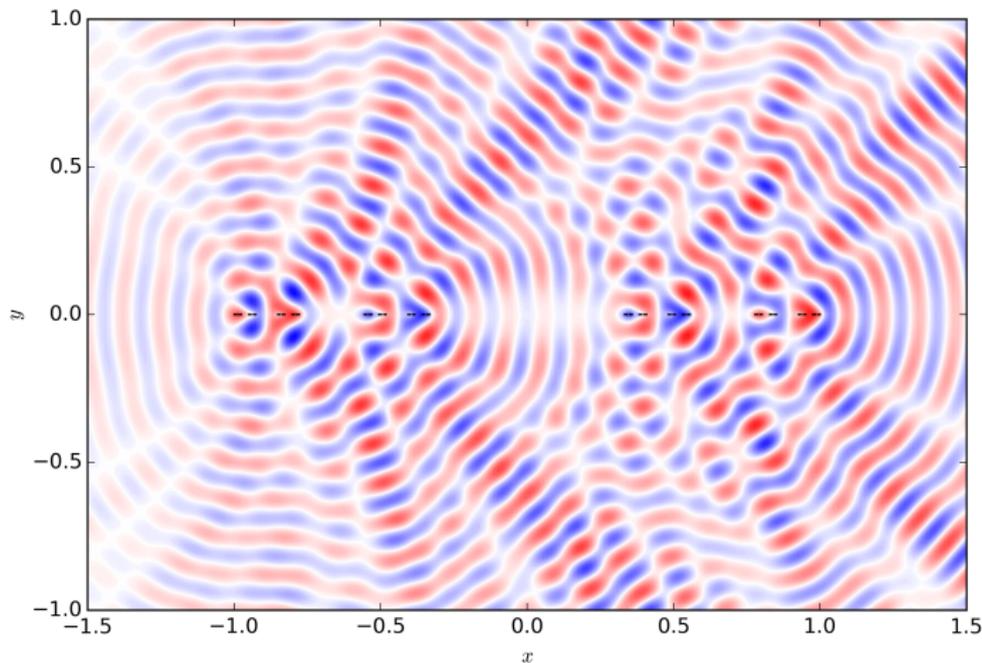
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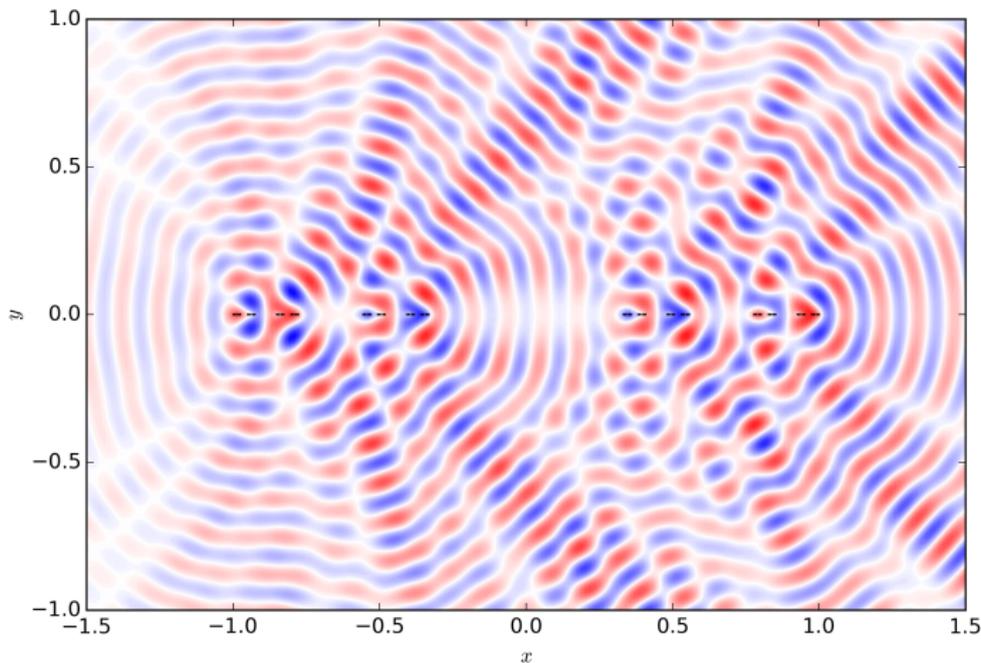
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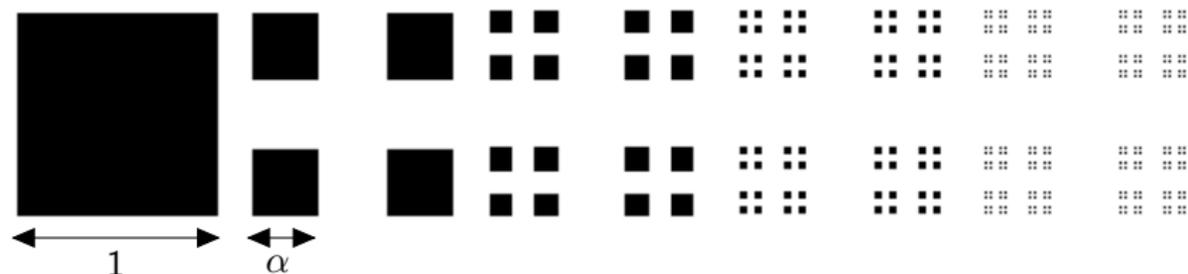
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Γ_6 and $\text{Re } u_6^s$

Back to Example 3, Cantor dust...

Let $C_\alpha^2 := C_\alpha \times C_\alpha \subset \mathbb{R}^2$ denote the “Cantor dust” ($0 < \alpha < 1/2$):

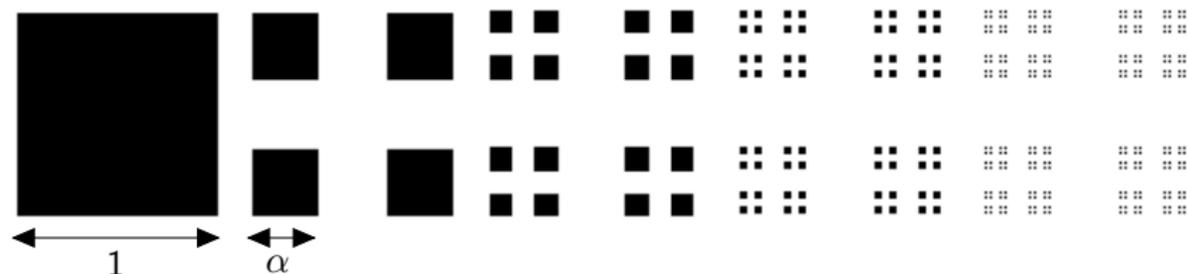


C_α^2 is uncountable and closed, with zero area (zero Lebesgue measure).

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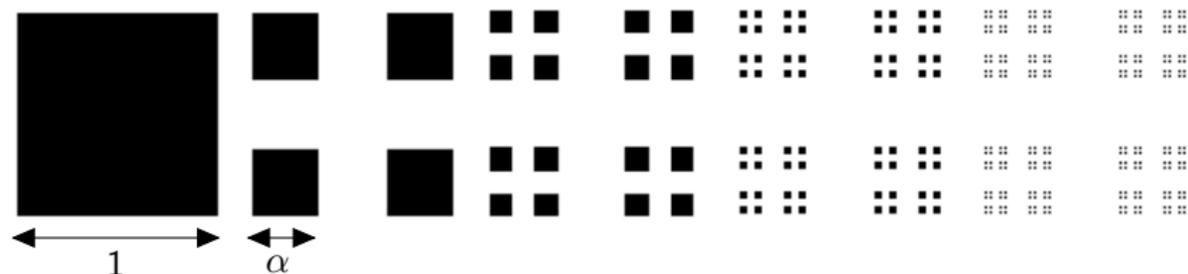
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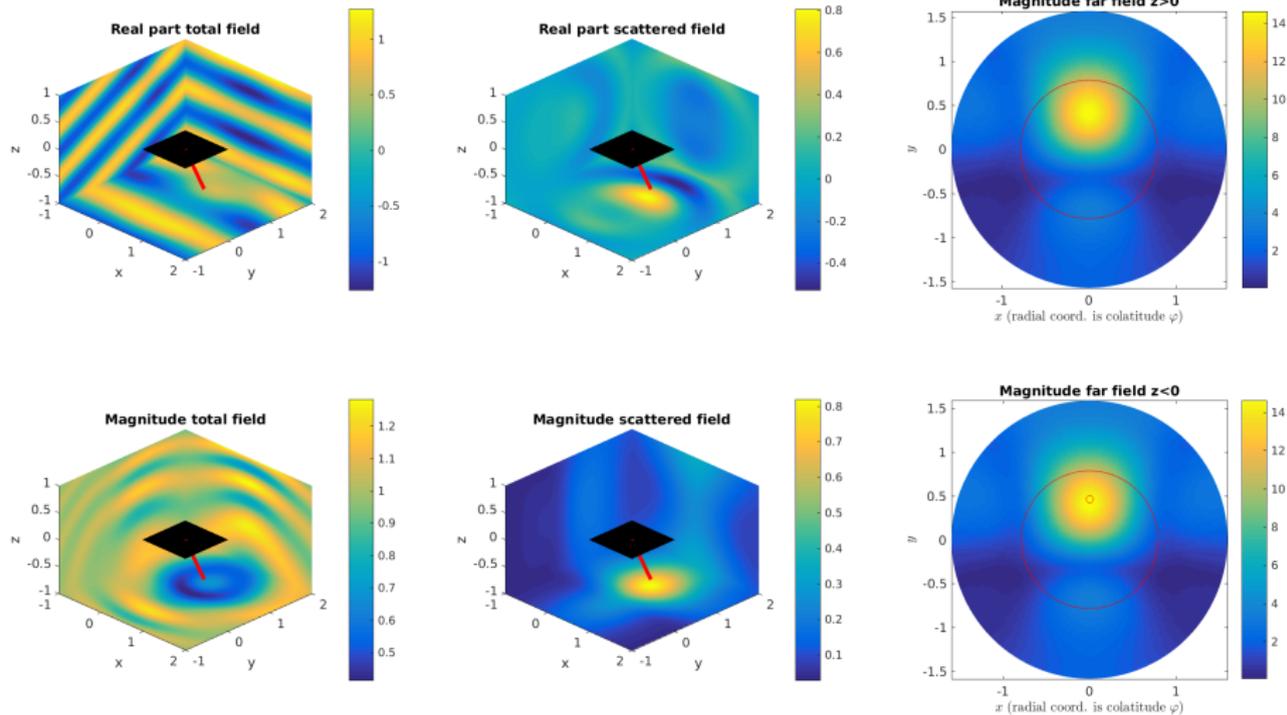
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Answer: **ZERO**, if $0 < \alpha \leq 1/4$; **NON-ZERO**, in general, if $1/4 < \alpha < 1/2$.

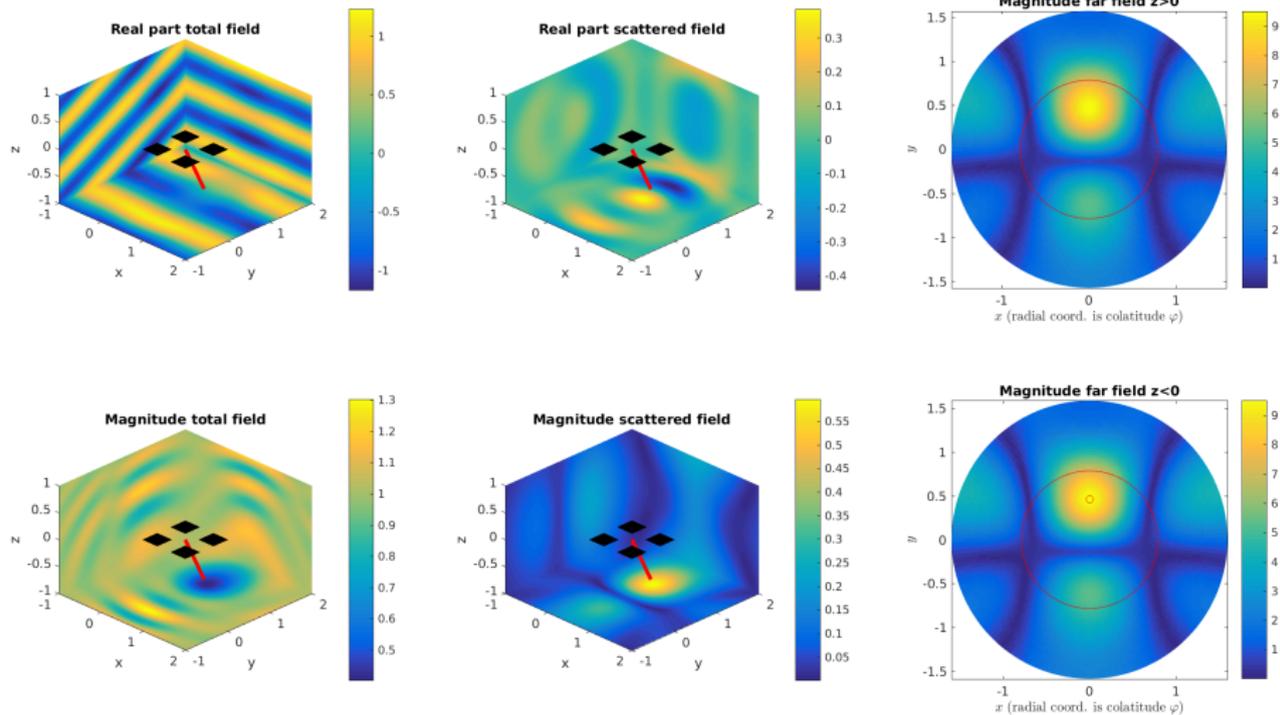
Numerical results - Cantor dust $\alpha = 1/3$ ($u^s \neq 0$)

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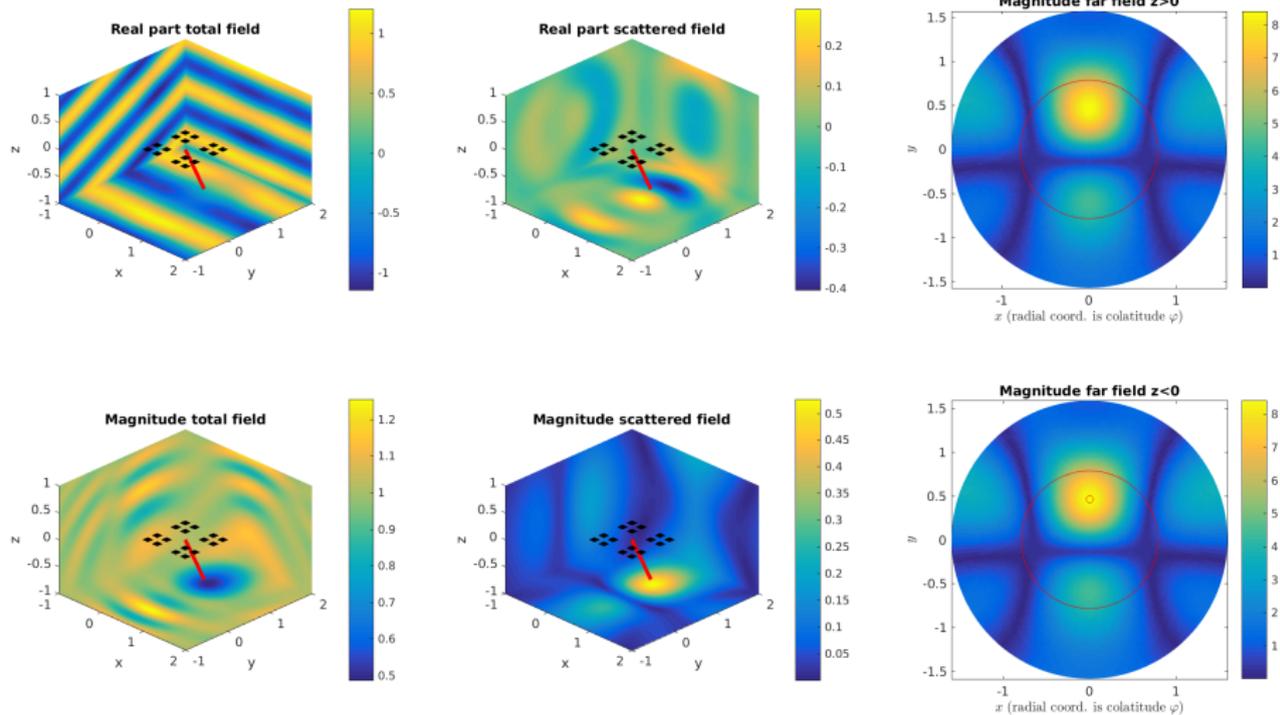
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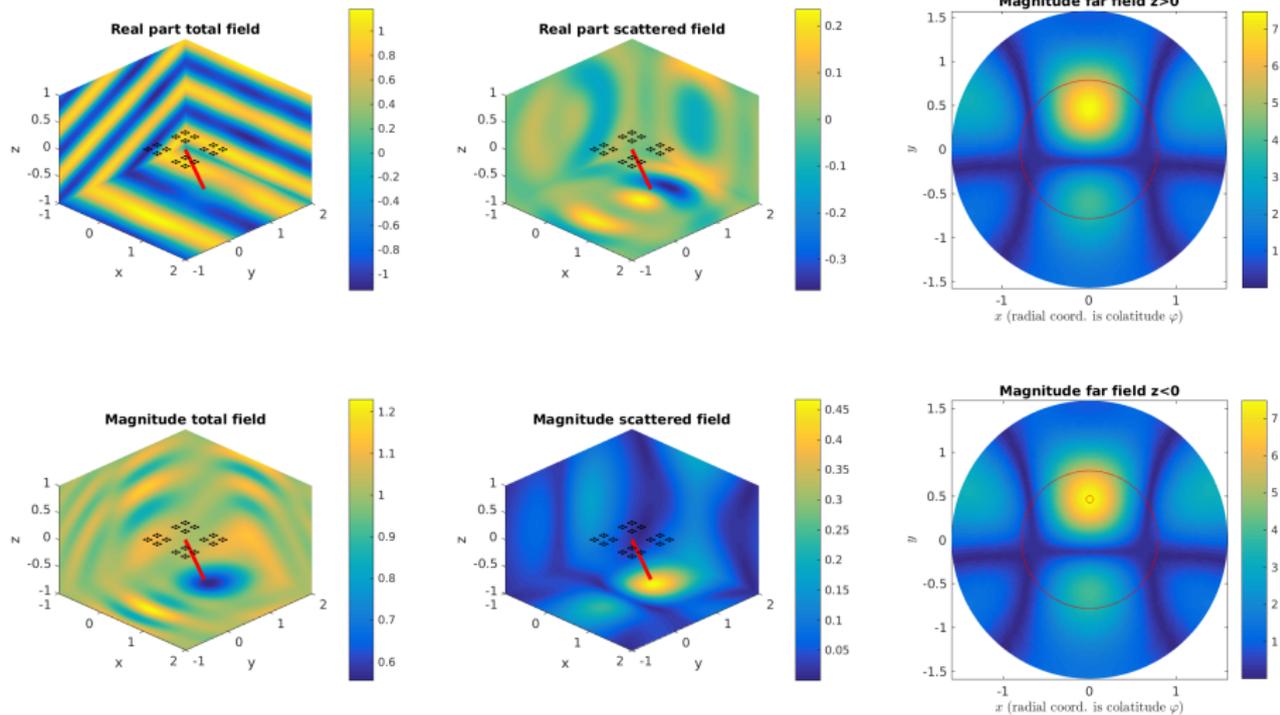
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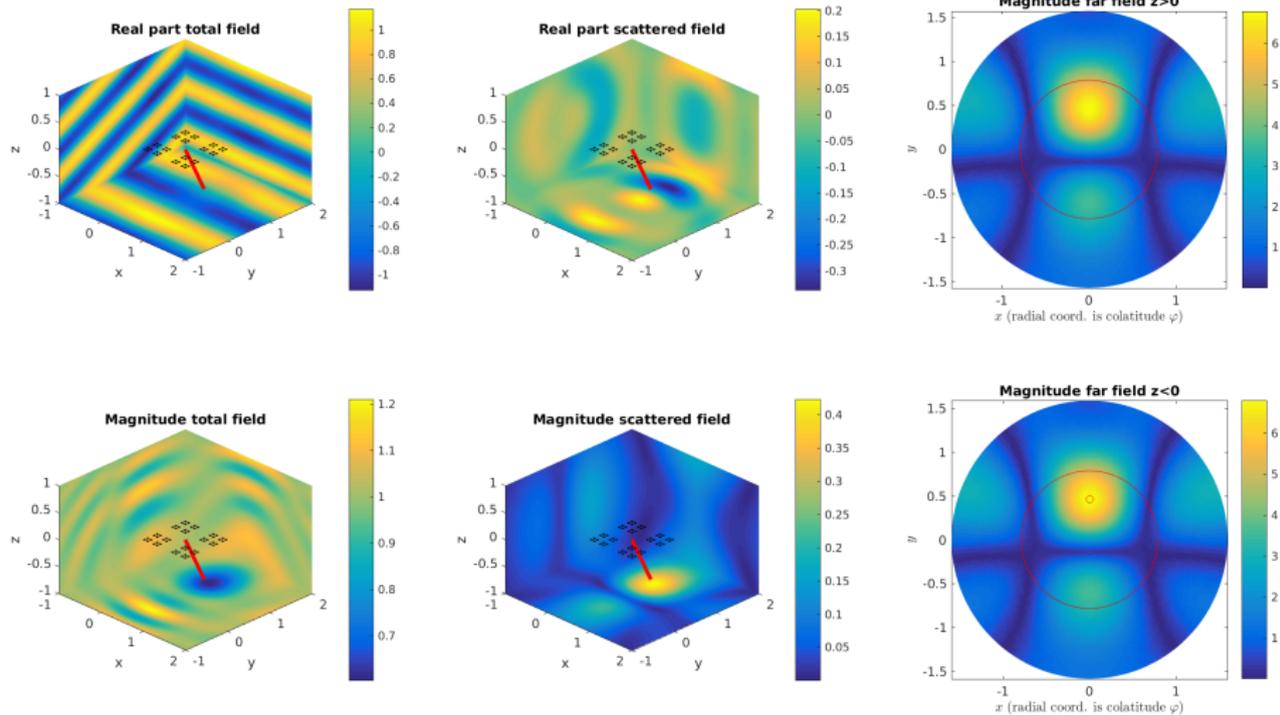
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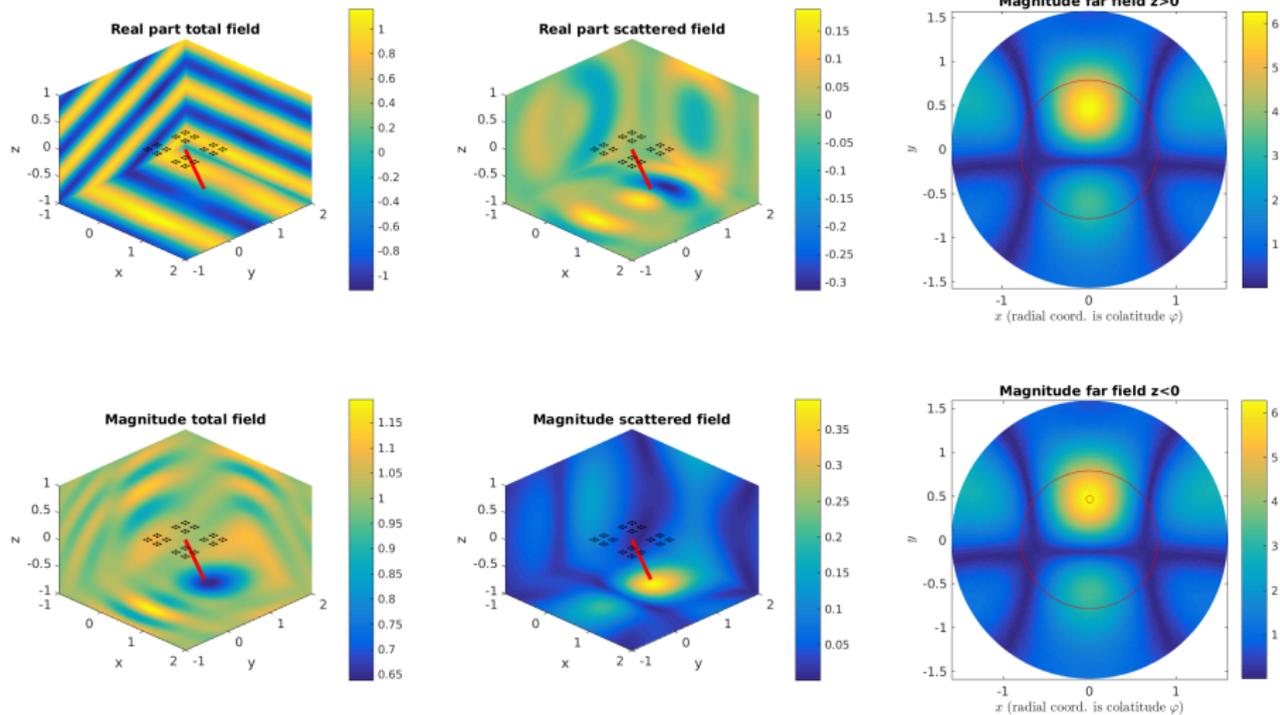
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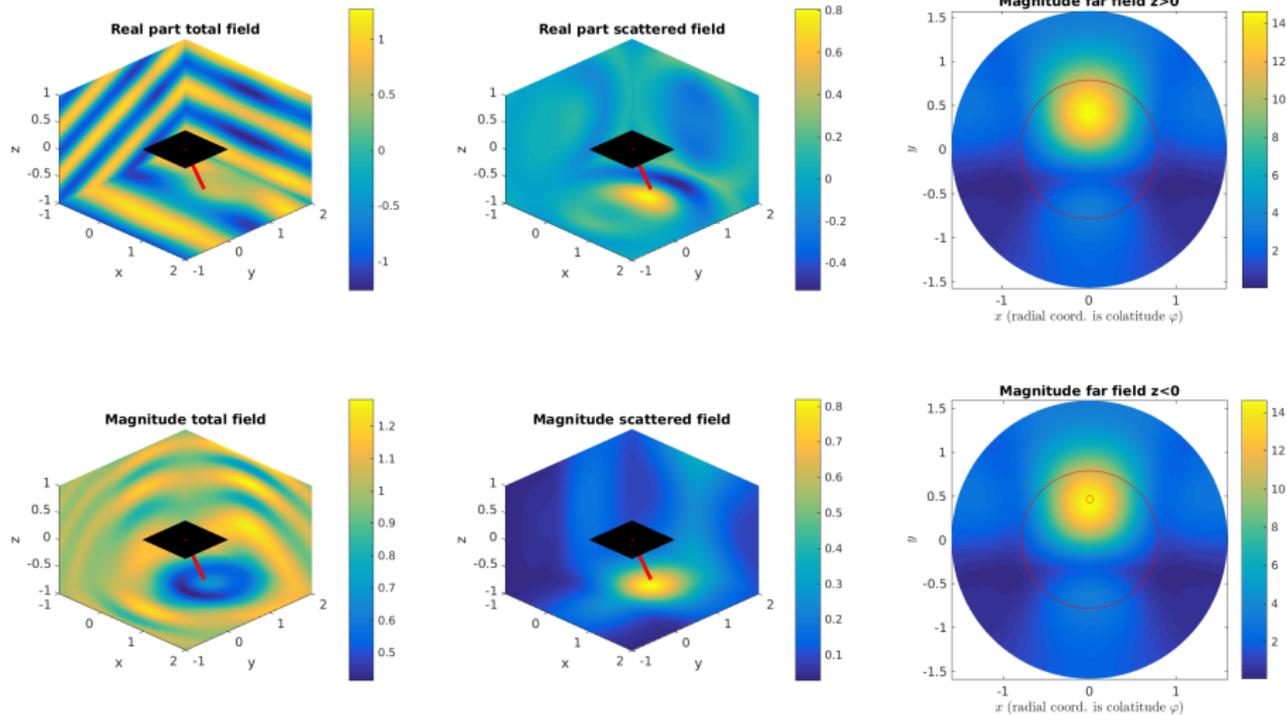
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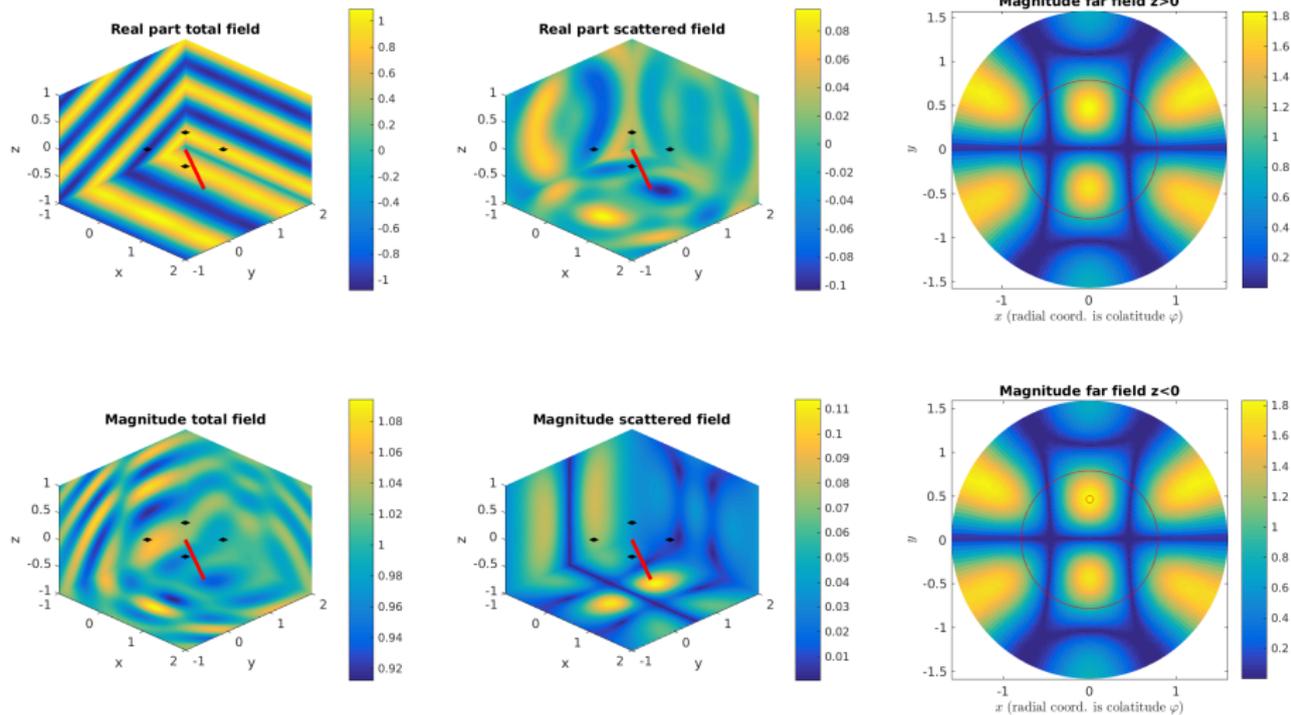
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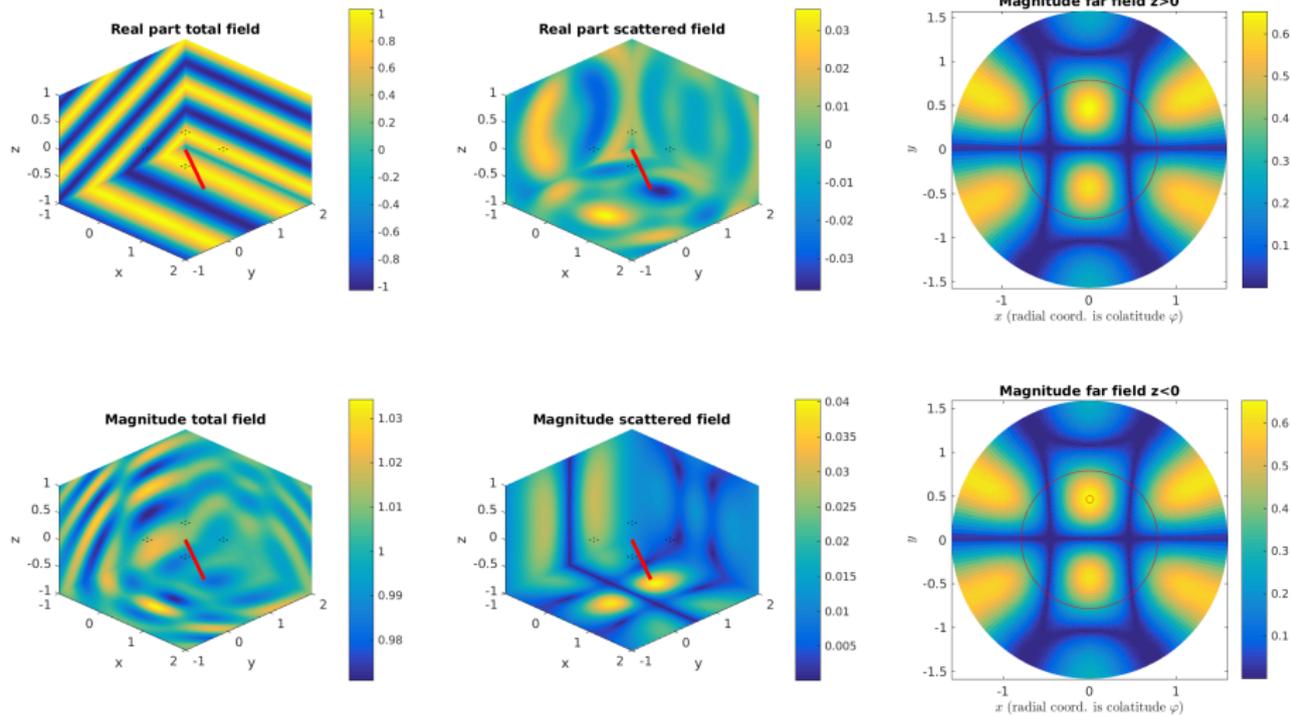
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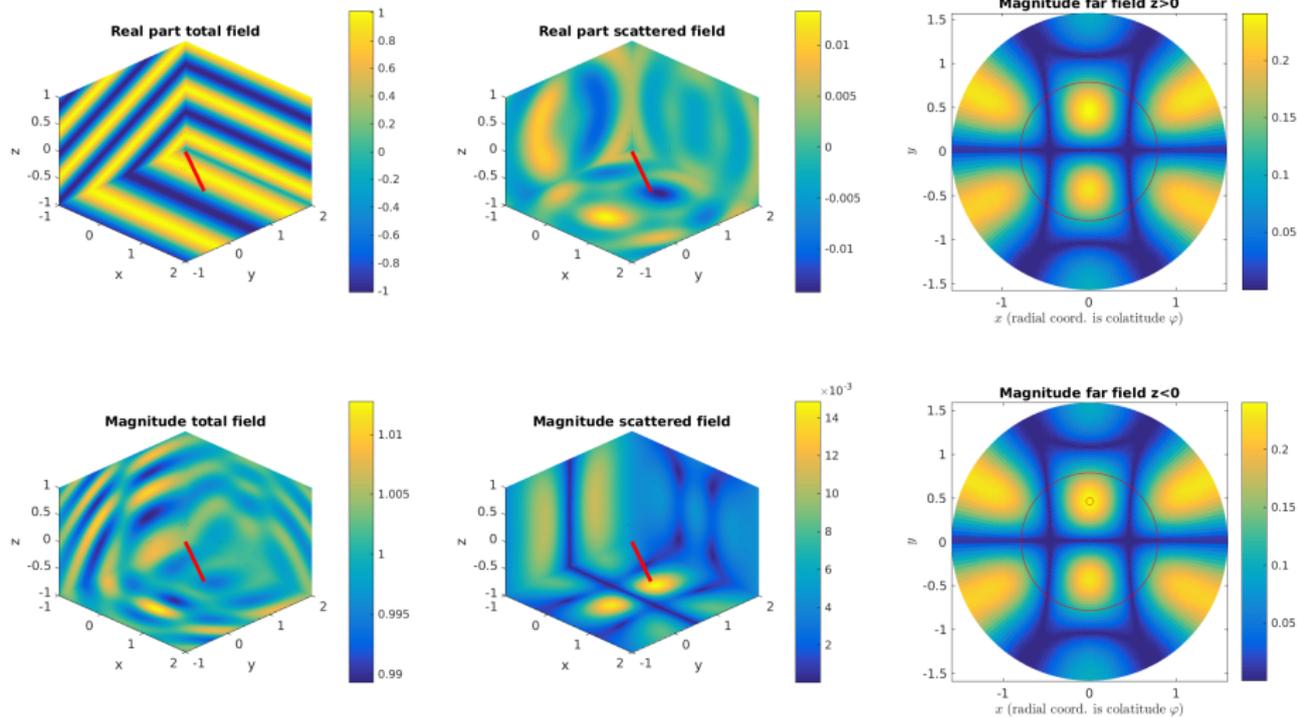
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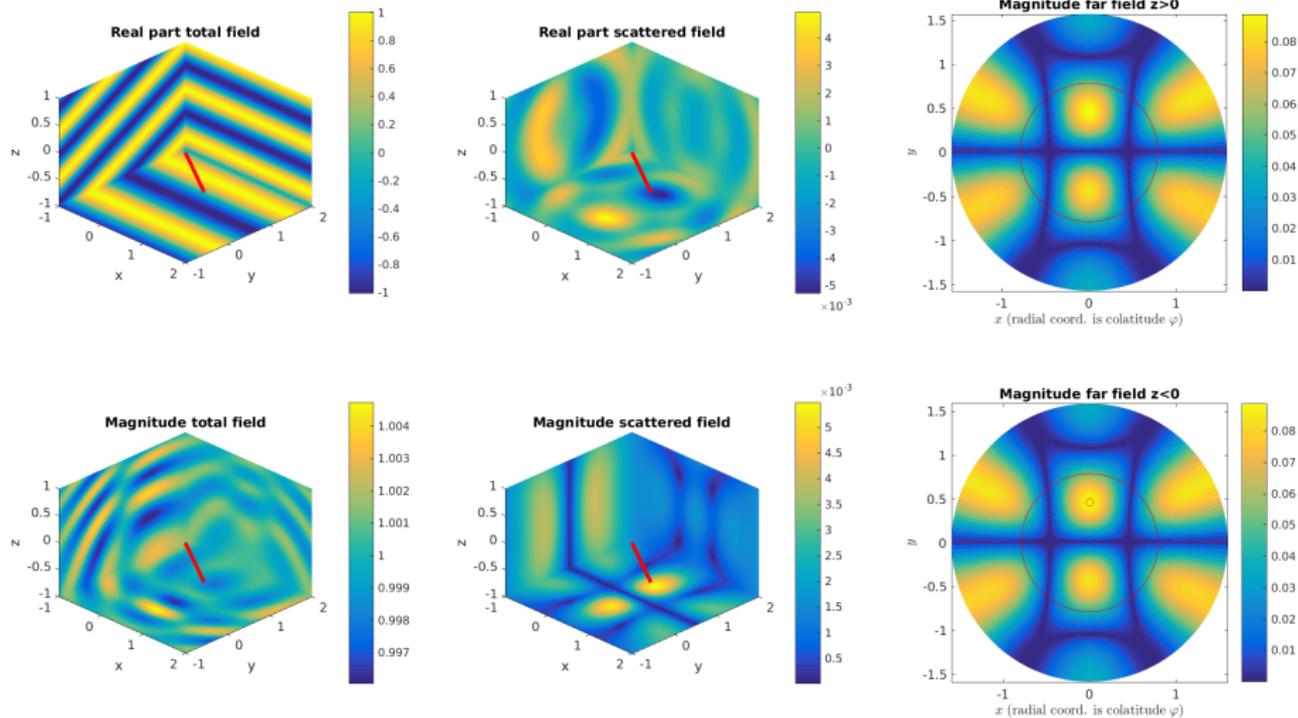
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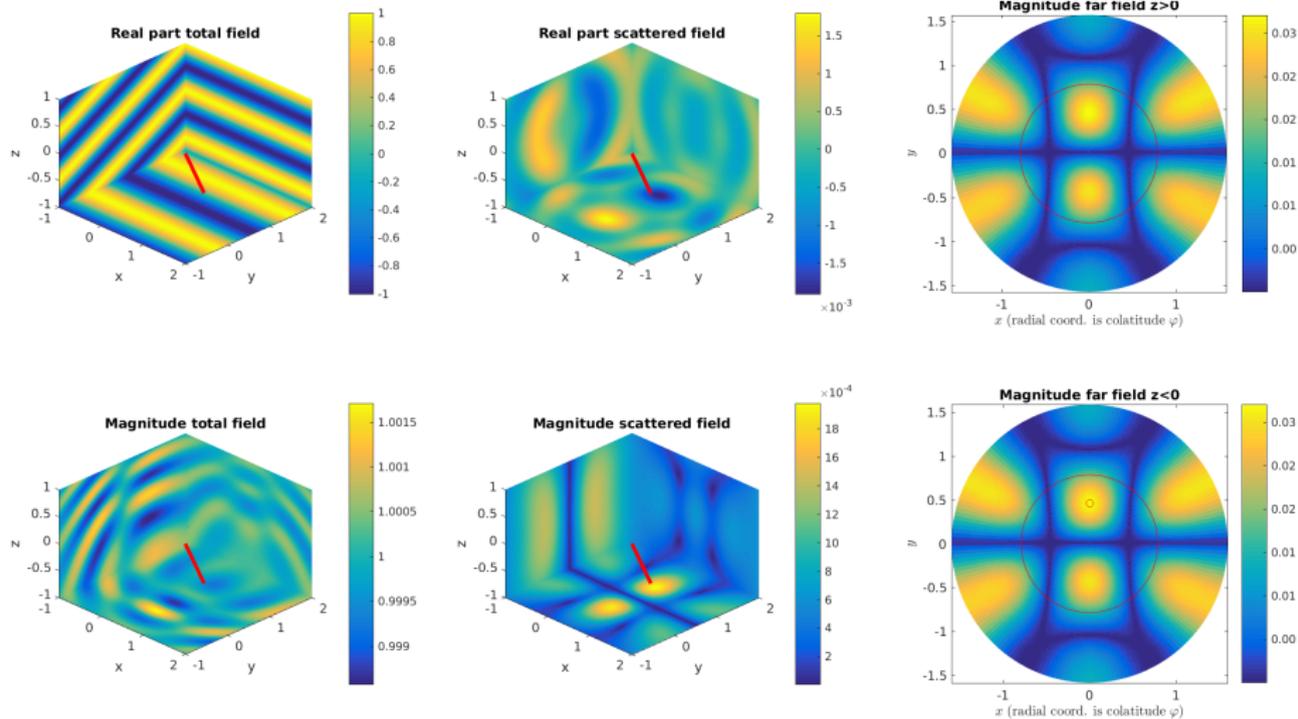
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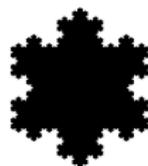
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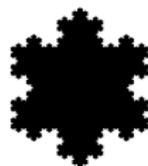
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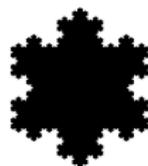
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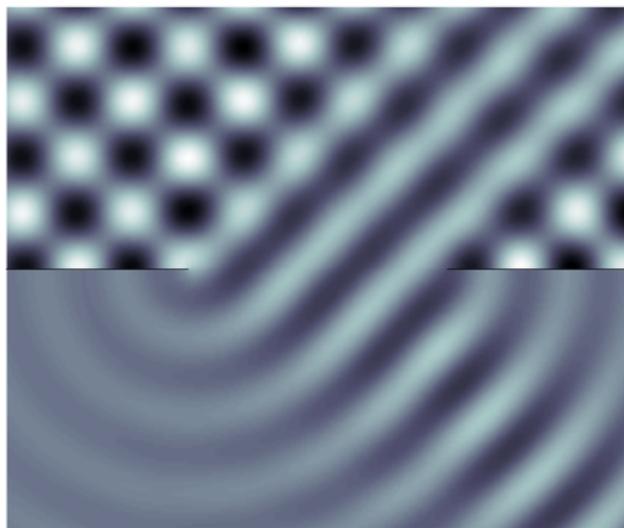
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Open problem: Is $\tilde{H}^{-1/2}(\Gamma^\circ) = H_{\bar{\Gamma}}^{-1/2}$ for the **Koch snowflake**?

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By **Babinet's principle**

transmitted field for aperture $\Gamma_j = -$ scattered field for sound soft screen Γ_j .

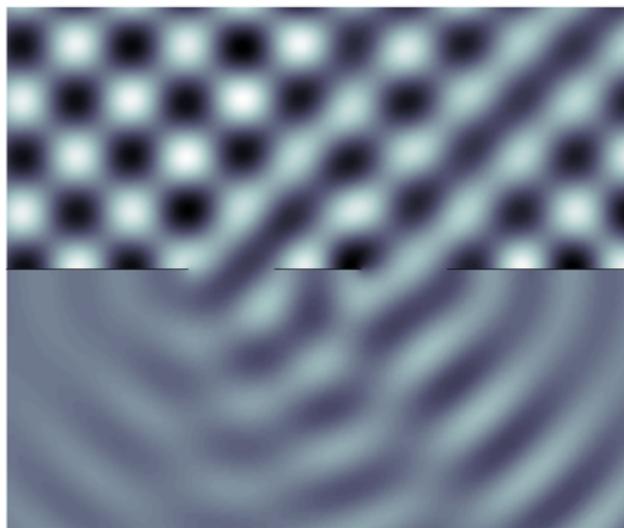


Aperture Γ_1 and $\text{Re } u_1$

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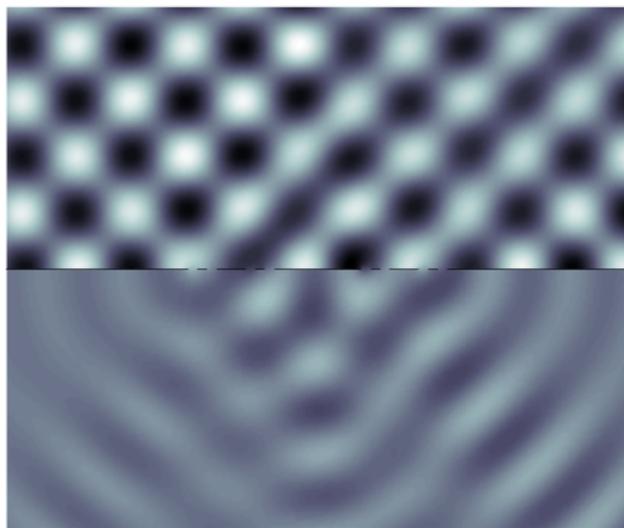


Aperture Γ_2 and $\text{Re } u_2$

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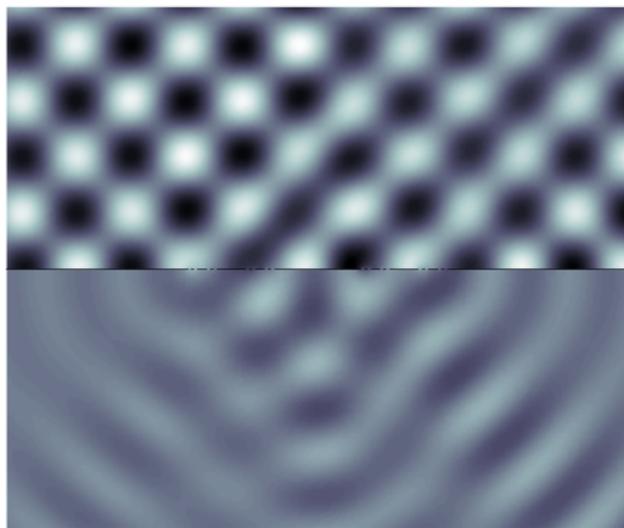


Aperture Γ_3 and $\text{Re } u_3$

Variant on Example 1: Infinite sound hard screen with aperture $\Gamma =$ “Middle Third” Cantor set

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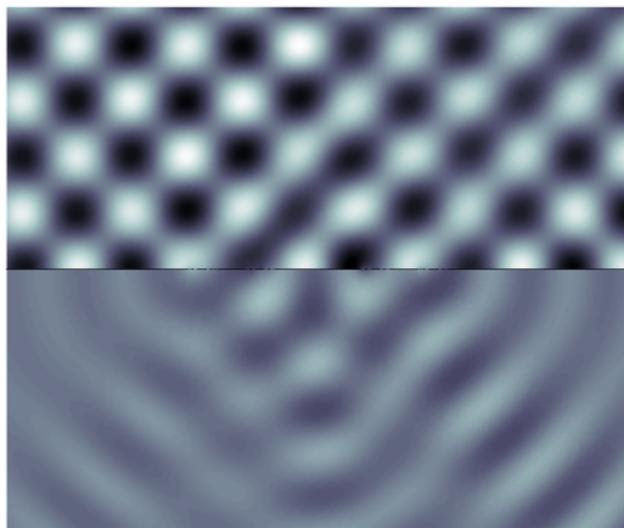


Aperture Γ_4 and $\text{Re } u_4$

Variant on Example 1: Infinite sound hard screen with aperture $\Gamma =$ “Middle Third” Cantor set

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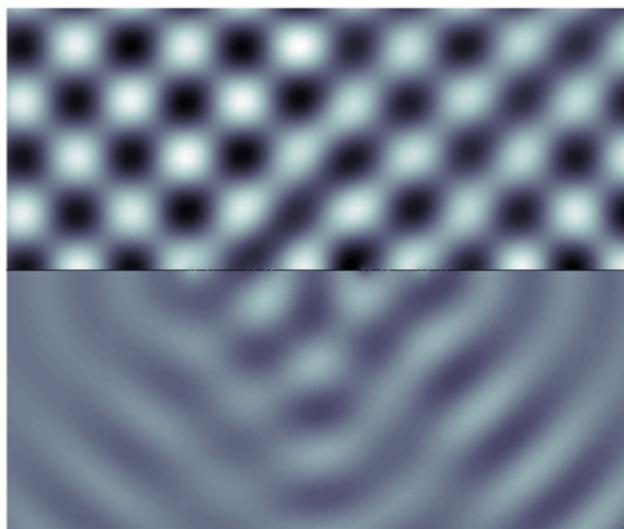


Aperture Γ_5 and $\text{Re } u_5$

Variant on Example 1: Infinite sound hard screen with aperture $\Gamma =$ “Middle Third” Cantor set

By **Babinet's principle**

transmitted field for aperture $\Gamma_j = -$ scattered field for sound soft screen Γ_j .

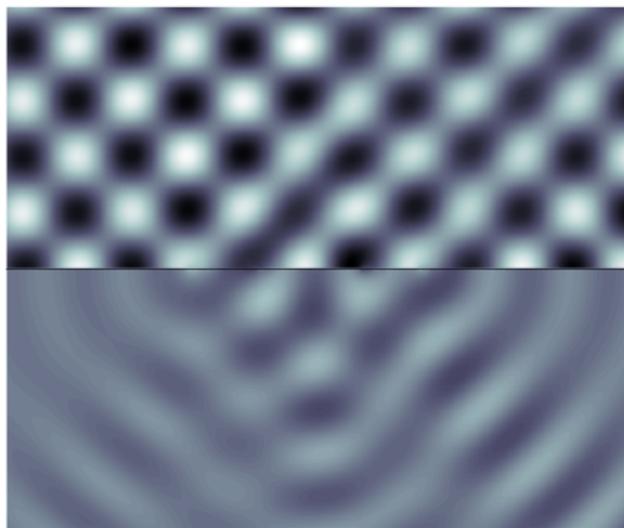


Aperture Γ_6 and $\text{Re } u_6$

Variant on Example 1: Infinite sound hard screen with aperture $\Gamma =$ “Middle Third” Cantor set

By **Babinet's principle**

transmitted field for aperture $\Gamma_j = -$ scattered field for sound soft screen Γ_j .



Aperture Γ_7 and $\text{Re } u_7$

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- Sound soft screens with zero surface area can scatter – and then $[\partial_n u] \in H_{\bar{\Gamma}}^{-1/2}$ is **not a function**
- This is interesting and surprising stuff, where subtle properties of Sobolev spaces have physical implications!

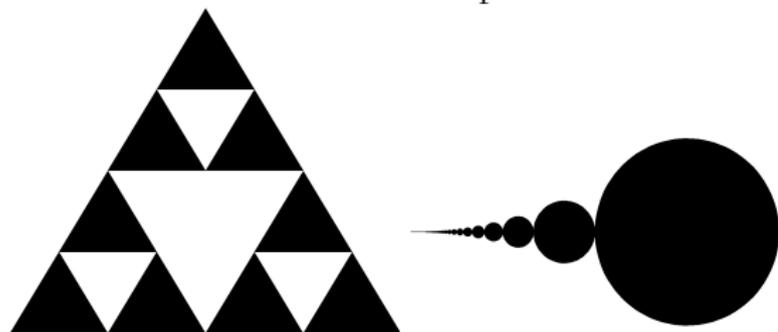
References

- S. N. Chandler-Wilde & D. P. Hewett, **Well-posed PDE and integral equation formulations for scattering by fractal screens**, 2016, arxiv.org/abs/1611.09539
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- D. P. Hewett & A. Moiola, **On the maximal Sobolev regularity of functions supported by subsets of \mathbb{R}^n** , Anal. Appl., published online 2016
- S. N. Chandler-Wilde, D. P. Hewett, **Wavenumber-explicit continuity and coercivity estimates in acoustic scattering by planar screens**, Integr. Equat. Operat. Th., **82**, 423–449, 2015
- D. P. Hewett, S. Langdon & S. N. Chandler-Wilde & **A frequency independent boundary element method for scattering by two-dimensional screens and apertures**, IMA J. Numer. Anal., **35**, 1698–1728, 2015.

What else have we done?

I haven't talked today about:

- Hypersingular integral equations for sound hard fractal screens
- Proving that $\tilde{H}^{\pm 1/2}(\Gamma^\circ) = H_{\bar{\Gamma}}^{\pm 1/2}$ for non- C^0 screens, e.g.

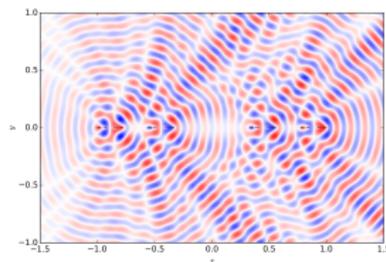


- BVP Formulations that are equivalent to **BIE-V** for each choice of V
- Interpreting **BIE-V** as an equation $S\psi = -P_{V^*}u^i$, where $S : V \rightarrow V^*$
- “Swiss Cheese” screens!

See the references, or talk to me or Dave, for details.

Many Open questions

- At what rate do prefractal solutions converge?
- Numerical analysis in the joint limit of prefractal level and mesh refinement?
- Regularity results for fractal solution?
- Curved screens?
- Maxwell case?



- Inverse problems? ...
- ...



A Final Reference

Lord Rayleigh, "Theory of Sound", 2nd Ed., Vol. II, Macmillan, New York, 1896:
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Lord Rayleigh, "Theory of Sound", 2nd Ed., Vol. II, Macmillan, New York, 1896: the 19th century mathematics of screens and apertures, pp.139-140.

If $P \cos (nt + \epsilon)$ denote the value of $d\phi/dx$ at the various points of the area (S) of the aperture, the condition for determining P and ϵ is by (6) § 278,

$$-\frac{1}{2\pi} \iint P \frac{\cos (nt - kr + \epsilon)}{r} dS = \cos nt \dots\dots\dots(2),$$

where r denotes the distance between the element dS and any fixed point in the aperture. When P and ϵ are known, the complete value of ϕ for any point on the positive side of the screen is given by

$$\phi = -\frac{1}{2\pi} \iint P \frac{\cos (nt - kr + \epsilon)}{r} dS \dots\dots\dots(3),$$

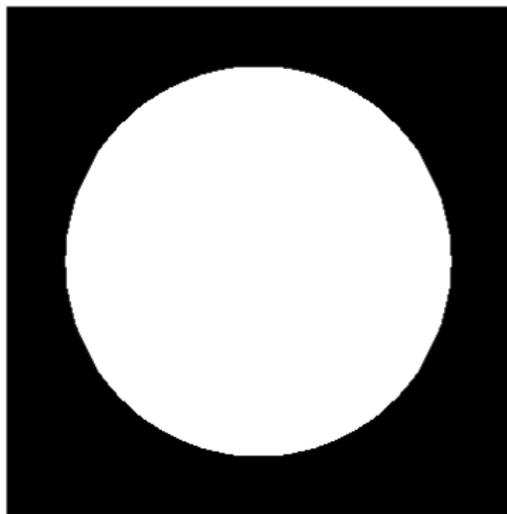
and for any point on the negative side by

$$\phi = +\frac{1}{2\pi} \iint P \frac{\cos (nt - kr + \epsilon)}{r} dS + 2 \cos nt \cos kx \dots\dots(4).$$

The expression of P and ϵ for a finite aperture, even if of circular form, is probably beyond the power of known methods; but in the

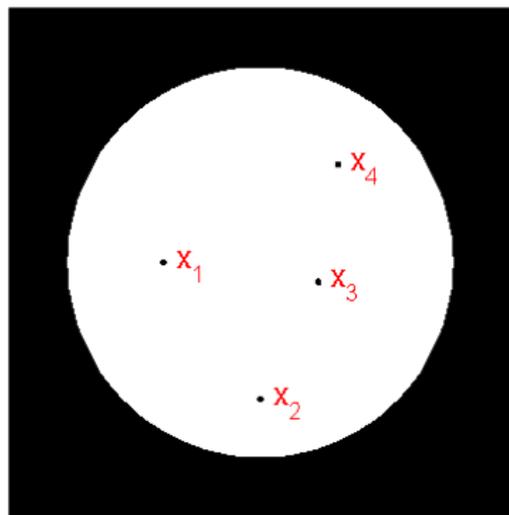
This is precisely **BIE-V**, admittedly not worrying about fractals or function spaces!

Example 4: “Swiss cheese” aperture in sound soft screen



Infinite sound soft ($u = 0$) screen with circular aperture of radius one:

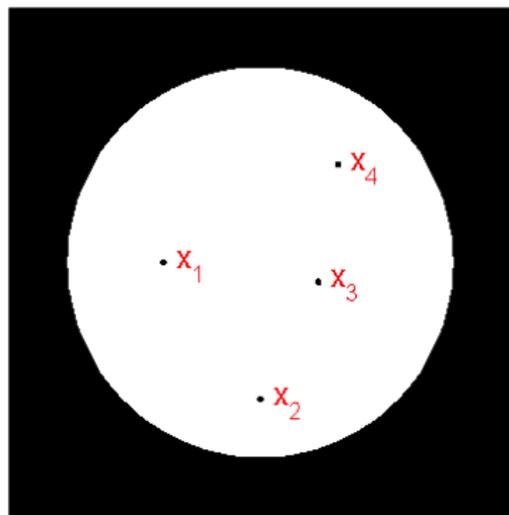
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Infinite sound soft ($u = 0$) screen with circular aperture of radius one:

- Take a sequence of points x_1, x_2, x_3, \dots that are **dense** in the aperture

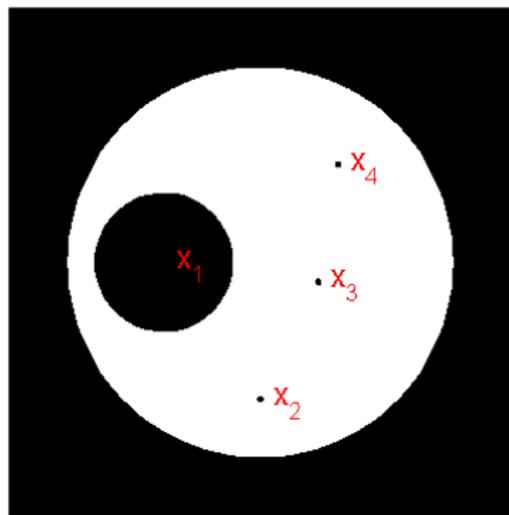
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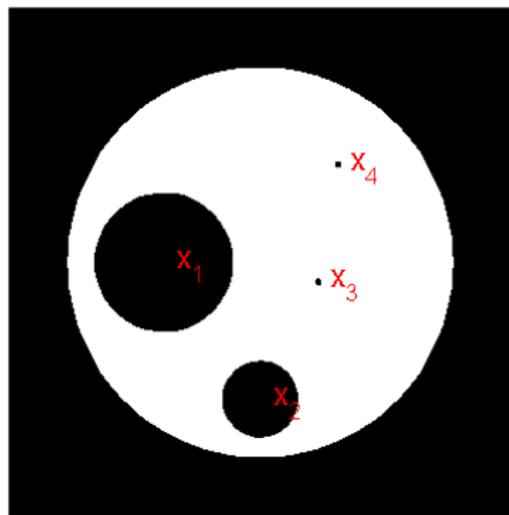
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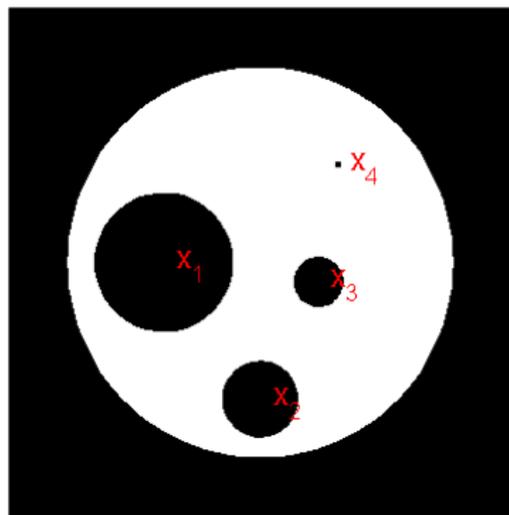
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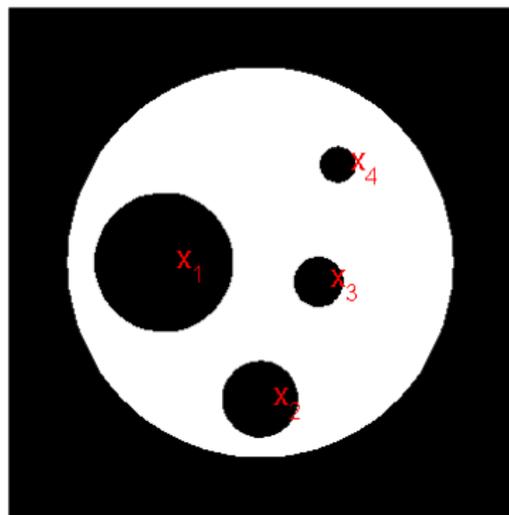
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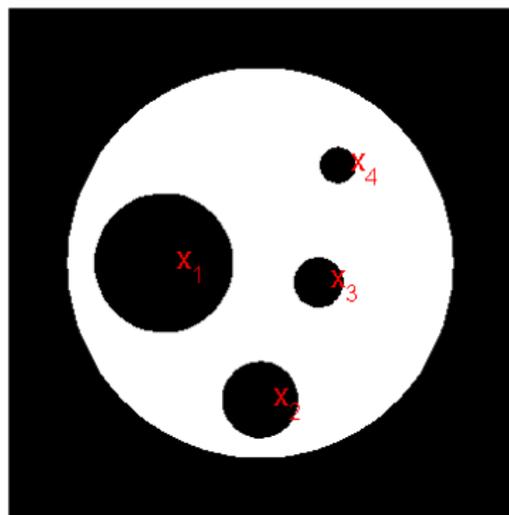
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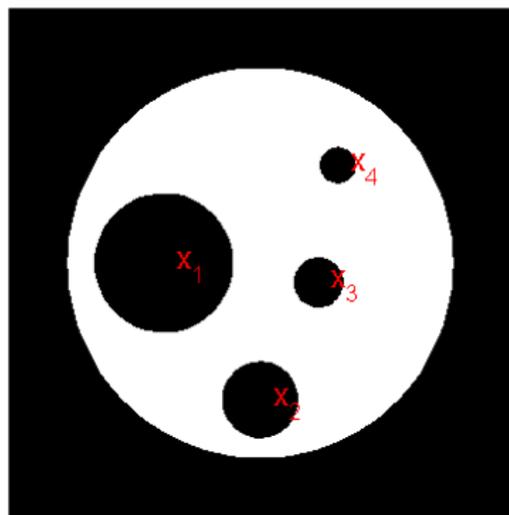


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Question: Is the transmitted field **zero** or **non-zero** in the limit? (The limiting aperture is a so-called **Swiss cheese**.)

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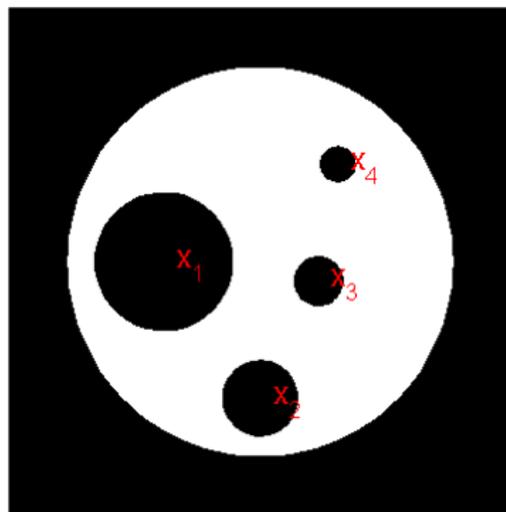


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Argument A: Limiting Swiss cheese aperture has area $A \geq \pi(1 - r_1^2 - r_2^2 \dots)$. If $A > 0$ then sound transmitted?

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Argument B: Limiting aperture has **empty interior** and u is continuous so $u = 0$ also on the aperture and so no transmitted wave?